Twombly, Iqbal, and Rule 8(c): Assessing the Proper Standard to Apply to Affirmative Defenses

James V. Bilek
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“The history of procedure is a series of attempts to solve the problems created by the preceding generation’s procedural reforms.”

INTRODUCTION

For almost fifty years, the Federal Rules of Civil Procedure have required parties simply to provide each other with fair notice of their claims or defenses. However, in 2007, the Supreme Court interpreted Rule 8 to mean something quite different. In *Bell Atlantic Corp. v. Twombly* and *Ashcroft v. Iqbal*, the Supreme Court changed the pleading standard from one requiring the complaint to provide the defendant with “fair notice” of the claim, to one requiring the complaint to contain

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2 Hereinafter, unless stated in full, the Federal Rules of Civil Procedure will be referred to simply as “the Rules.”

3 See Conley v. Gibson, 355 U.S. 41, 47 (1957) (“[A]ll the Rules require is ‘a short and plain statement of the claim’ that will give the defendant fair notice of what the plaintiff’s claim is and the grounds upon which it rests.” (quoting Fed. R. Civ. P. 8(a)(2)), abrogated by Bell Atl. Corp. v. Twombly, 550 U.S. 544 (2007). See also Woodfield v. Bowman, 193 F.3d 354, 362 (5th Cir. 1999) (“An affirmative defense is subject to the same pleading requirements as is the complaint.”).

4 Rule 8 governs complaints and affirmative defenses in federal courts. See Fed. R. Civ. P. 8(a) (governing complaints); Fed. R. Civ. P. 8(c) (governing affirmative defenses).


7 This “fair notice” standard was fairly simple for a plaintiff to meet. See Green Country Food Mkt., Inc. v. Bottling Grp., LLC, 371 F.3d 1275, 1279 (10th Cir. 2004) (holding that a complaint must give the defendant “fair notice of what the plaintiff’s claim is and the grounds upon which it rests” . . . [but that a] plaintiff should not be prevented from pursuing a claim simply because of a failure to set forth in the complaint
“enough facts to state a claim to relief that is plausible on its face.”9 Yet, while the Court may have announced the standard for complaints, it was silent as to what to do with affirmative defenses pled in an answer.9 Must a defendant continue to simply provide the plaintiff with fair notice of the affirmative defense?10 Or, must the defendant’s answer now “contain sufficient factual matter, accepted as true, to ‘state a[n affirmative defense] that is plausible on its face[?]’”11 Without an answer to these questions, defendants seeking to assert an affirmative defense will have little guidance as to what they must now include in their answers. These questions and issues have inspired this Comment, which will examine how Twombly and Iqbal apply to affirmative defenses. Ultimately, this Comment proposes that a defendant must “plead an adequate factual basis for [his or her] affirmative defense[,]”12 without having to give rise to an affirmative defense which is ‘plausible on its face.’”13 To require a defendant to make a plausibility assessment prior to pleading an affirmative defense would discourage defendants from pleading otherwise legitimate defenses out of fear that they may lack sufficient support to prove their plausibility.14

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9 See infra Part III (noting the multitude of standards on which district courts have relied out of an uncertainty as to what the correct standard is to apply to an affirmative defense).

10 Prior to Twombly and Iqbal, this was the standard required of affirmative defenses. See, e.g., Davis v. Sun Oil Corp., 148 F.3d 606, 614 (6th Cir. 1998) (Boggs, J., dissenting) (“An affirmative defense may be pleaded in general terms and will be held to be sufficient . . . ‘as long as it gives plaintiff fair notice of the nature of the defense.’” (emphasis omitted) (quoting 5 CHARLES ALAN WRIGHT & ARTHUR R. MILLER, FEDERAL PRACTICE AND PROCEDURE § 1381 (3d ed. 2010))).

11 Iqbal, 129 S. Ct. at 1949 (quoting Twombly, 550 U.S. at 570).


13 Iqbal, 129 S. Ct. at 1949 (quoting Twombly, 550 U.S. at 570).

14 An insufficiently pled affirmative defense is subject to being stricken under Rule 12(f), which allows a plaintiff to move the court to strike an affirmative defense from the pleadings and the case. See Fed. R. Civ. P. 12(f) (“The court may strike from a pleading an...
Part I will discuss how common law and code pleading gave way to the Federal Rules of Civil Procedure. Part II will trace the Court’s interpretation of Rule 8 from Conley v. Gibson to the Twombly and Iqbal decisions, as well as their effects on complaints and affirmative defenses. Part III will examine the standards that district courts are currently applying to affirmative defenses under Rule 8(c) in light of Twombly and Iqbal. Lastly, Part IV will propose how district courts should assess affirmative defenses today, taking into account such factors as the textual differences between Rule 8(a) and 8(c), the policies behind the Twombly and Iqbal decisions, as well as the overall fairness to a defendant and a plaintiff.

I. FROM THE COMMON LAW TO THE CODES TO THE RULES

Pleading regimes in the United States are largely derived as a reaction to the archaic and overly technical pleading standards of the common law. At common law, the pleadings were used as a mechanism to narrow the issues in dispute into one issue that could be decided by a judge or a jury. Each cause of action had insufficient defense or any redundant, immaterial, impertinent, or scandalous matter. The court may act . . . on motion made by a party either before responding to the pleading or, if a response is not allowed, within 20 days after being served with the pleading.

Thus, determining what exactly must be included in a responsive pleading by the defendant is an important topic for both litigators and defendants.

15 See infra Part I (describing common law and code pleading standards).
17 See infra Part II (discussing the Twombly and Iqbal decisions).
18 See infra Part III (describing the different standards courts have adopted to assess the sufficiency of affirmative defenses pled in the answer).
19 See infra Part IV (discussing that an approach requiring facts to be pled is not only most fair to a defendant, but is most in line with the policies underlying the Twombly and Iqbal decisions).
21 See Thomas P. Gressette, Jr., The Heightened Pleading Standard of Bell Atlantic Corp. v. Twombly and Ashcroft v. Iqbal: A New Phase in American Legal History Begins, 58 DRAKE L. REV. 401, 404 (2009) (“The . . . pleading scheme was premised on the assumption that by proceeding through numerous stages of denial, avoidance, or demurrer, a case eventually would be reduced to a single dispositive issue of fact or law.” (quoting Christopher M. Fairman, Heightened Pleading, 81 TEX. L. REV. 551, 554 (2002))). See also ROY L. BROOKS, CRITICAL PROCEDURE 80 (Carolina Academic Press 1998) (“Issue formulation was the centerpiece of common law pleading.”); WILLIAM P. WALSH, OUTLINES
its own separate pleading requirements. If the pleader failed to plead the cause of action properly, the pleader had to start all over again. Although there may have been benefits to such single issue pleading, a pleading regime which "stake[s] the outcome of litigation on the accuracy of a forecast that its merits will properly turn on the resolution of a single issue specifically

OF THE HISTORY OF ENGLISH AND AMERICAN LAW 494 (New York University Press 1995) (describing how pleading at common law required parties to plead back and forth until one "precise issue" essential to the case was determined); Clinton W. Francis, The Structure of Judicial Administration and the Development of Contract Law in Seventeenth-Century England, 83 COLUM. L. REV. 35, 56 (1983) (noting that at common law, the pleadings were used as a mechanism to narrow the "litigation to a single issue"); Howard T. Markey, Proposed Changes to Discovery Rules in Aid of "Tort Reform": Has the Case Been Made?, 42 WM. W. GAUNT & SONS, INC. L. REV. 421, 423 n.4 (1987–1988) ("At common law, pleadings served several purposes: giving notice of the nature of the claim, stating the facts, narrowing the issues to be litigated, and providing a means of quickly disposing of frivolous claims and meritless defenses.").

22 See A.M. Wilshire, M.A., LL.B., PRINCIPLES OF THE COMMON LAW 10 (Sweet & Maxwell, Ltd. 1914). A party wishing to file a complaint (or a declaration as it was known) had to follow the desired cause of action’s particular writ. See Fleming-James, Jr. & Geoffrey C. Hazard, Jr., Civil Procedure 10–11 (Little, Brown and Co. 1977). Each writ contained different requirements, which must be accurately pleaded or else the pleader failed and would be unable to proceed further with the writ. See Koan Mercer, "Even in These Days of Notice Pleadings": Factual Pleading Requirements in the Fourth Circuit, 82 N.C. L. REV. 1167, 1168 (2004) ("The common law pleading practice that developed in England between the thirteenth and sixteenth centuries required plaintiffs to choose a single writ under which to bring their claims. Each writ triggered a different form of action with distinct procedural, evidentiary, and jurisdictional requirements."). Much of the displeasure stemming from common law pleading was a result of the technical requirements of these writs. See, e.g., Paul R. Sugarman & Marc G. Perlin, Proposed Changes to Discovery Rules in Aid of "Tort Reform": Has the Case Been Made?, 42 AM. U. L. REV. 1465, 1487 (1993) ("Common law pleading was prefigured and technical, shaped by the twelfth-century system of writs and formalistic pleading requirements.").

23 James & Hazard, supra note 22, at 11. Once a plaintiff and attorney decided on the proper cause of action, a myriad of pleading exchanges with the defendant followed. See Richard L. Marcus, The Revival of Fact Pleading Under the Federal Rules of Civil Procedure, 86 COLUM. L. REV. 433, 437 (1986). Upon receiving the declaration, the defendant could demur, that is, admit the factual allegations, but deny that any legal basis existed for the claim, or plead to the declaration. See C. H. S. Fipoot, M.A., ENGLISH LAW AND ITS BACKGROUND 153 (WM. W. Gaunt & Sons, Inc. 1993). By doing this, if the defendant raised a new fact not originally brought forth, the plaintiff would now have to proceed as the defendant first did by admitting, denying, demurring, or pleading to it. See Herbert Broom, M. A., Commentaries on the Common Law, Designed as Introductory to Its Study 177–78 (Fred B. Rothman & Co. 1997). This would proceed anew if the plaintiff now raised a fact not raised by the declaration or by the defendant's response. Id. The pleadings would only cease if a fact raised by one is denied by the other, or an issue of law is asserted by one, but denied by the other. Id. See also Thomas O. Main, Traditional Equity and Contemporary Procedure, 78 WASH. L. REV. 429, 454–55 (2003) ("The system required an intricate network of highly technical rules designed to aid or force the parties' dispute to converge upon a single issue of law or fact.").

24 For example, such single issue pleading allowed a lay juror easily to resolve the main issue in the dispute, because instead of having to focus on multiple complex issues, the juror was left with one single issue which one party asserted and the other denied. See Theodore F. T. Plucknett, A Concise History of the Common Law 413 (Little, Brown and Co. 1956) (explaining that if the single issue left is one of fact, "then the parties will have ascertained a material fact which one asserts and the other denies in terms so precise that a jury will have no difficulty in hearing evidence on the matter and finding the truth of it").
designated in advance, will be bound to cause many a miscarriage of justice.”

To break from this approach, many states adopted code pleading, which has its beginnings in the New York Code, known distinctly as the “Field Code.” Code pleading required parties to plead factual support for their claims or defenses, and necessarily focused on using the pleadings as a mechanism in which to develop facts. This assisted litigants greatly when it came to discovery as each side already had the factual basis for the other’s claims or defenses. Thus, code pleading, through factual development, focused on narrowing the issues for discovery and trial, without necessarily requiring that there be only one issue of law or fact left, as was required at common law.

Although code pleading narrowed the scope of discovery, oftentimes it was simply a difficult hurdle for litigators to overcome. “[T]he pleader faced the problem of distinguishing

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26 See 4 B. E. Witkin, California Procedure, Pleading § 1 (5th ed. 2008).


30 Amelia F. Burroughs, Comment, Mythed It Again: The Myth of Discovery Abuse and Federal Rule of Civil Procedure 26(b)(1), 33 McGeorge L. Rev. 75, 78 (2001–2002). Compared with common law pleading, which was not as concerned with factual development as it was with issue formulation, code pleading marked a significant break from the common law. Molot, supra note 25, at 982–83 (explaining how at common law, issue formulation did not also necessarily entail factual development).

31 See Tony L. Wilcox, Schmidt v. McIlroy Bank & Trust: An Old Twist to a New Rule, 46 ARK. L. REV. 433, 437 (1993) (“The drafters of the code believed that code pleading would allow the pleader more freedom in bringing his action and would rid the court of the technical form and repetition of the common law system. Yet, even though code pleading was much simpler, pleading problems persisted.” While the rigid forms of
facts from evidence and conclusions of law. Many statements fit into both categories, and often it was impossible to make the distinction.”

In 1938, however, the Federal Rules of Civil Procedure were enacted and took a drastic turn from the technical common law and the fact pleading requirements of the code regimes.

II. THE EVOLVING STANDARDS OF FEDERAL PLEADING: FROM A “NOTICE” STANDARD TO A “PLAUSIBILITY” STANDARD

At the heart of pleading under the Federal Rules of Civil Procedure is Rule 8(a), which requires all complaints to contain “a short and plain statement of the claim showing that the pleader is entitled to relief . . . .” In Conley v. Gibson, the Supreme Court interpreted Rule 8 to require that a complaint “give the defendant fair notice of what the plaintiff’s claim is and the grounds upon which it rests.”

Affirmative defenses, on the other hand, are abolished, there was no change in the substantive rights of the parties.

See also Christopher M. Fairman, The Myth of Notice Pleading, 45 Ariz. L. Rev. 987, 990 (2003) (noting that code pleading, like common law pleading, concerned itself with technicalities such as differentiating between facts and conclusions of law). A pleading was insufficient if it merely pled conclusions, and was devoid of any factual basis. See Wilcox, supra at 437–38 (explaining how under a code pleading regime, parties must only plead facts). In an abundance of caution, parties often would plead too many facts in an attempt to avoid a demurrer. Id.

This “overpleading” led to high costs and delays and, thus, instead of providing the actual factual support for a claim or defense, a party was simply left with a myriad of facts, but little direction as to which actually applied. Id.

The 2000 Amendments to the Federal Discovery Rules was to abandon the lengthy and technical requirements of earlier common law and code pleading. Because the Rules’ drafters believed that those forms of pleading had been a primary cause of litigation delay, expense, inconvenience, and emphasized procedural games over the substantive merits of the disputes, they embraced notice pleading, with its bare bones and easy to satisfy requirements for stating a claim.

See JAMES & HAZARD, supra note 22, at 22 (noting that an important function under the Rules is its “simplicity and liberal amendment in pleading and motion practice”); Morgan Cloud, The 2000 Amendments to the Federal Discovery Rules and the Future of Adversarial Pretrial Litigation, 74 Temp. L. Rev. 27, 52 (2001) (“One of the central reforms of the . . . Rules was to abandon the lengthy and technical requirements of earlier common law and code pleading. Because the Rules’ drafters believed that those forms of pleading had been a primary cause of litigation delay, expense, inconvenience, and emphasized procedural games over the substantive merits of the disputes, they embraced notice pleading, with its bare bones and easy to satisfy requirements for stating a claim.”).
other hand, are governed by Rule 8(c), which requires a defendant to “affirmatively state any avoidance or affirmative defense . . . .” Under the pre-Twombly standards, affirmative defenses were held to the same Conley notice requirements as was the complaint. Thus, raising an affirmative defense in the answer was meant simply to provide the opposing party with notice and allow that party to use the tools of discovery to further develop the basis of the defense. Despite subsequent Supreme Court decisions affirming this notice standard, in 2007, the

support of his claim which would entitle him to relief.” Id. at 45–46. See also Walter W. Heiser, A Critical Review of the Local Rules of the United States District Court for the Southern District of California, 33 SAN DIEGO L. REV. 555, 568 n.64 (1996) (noting that Conley requires that the pleadings contain all the necessary facts to support the claim or defense). The purpose of this lax standard was to allow parties an easy means of access to discovery and thereby give the parties control over which facts and issues are uncovered. See Sherman J. Clark, To Thine Own Self Be True: Enforcing Candor in Pleading Through the Party Admissions Doctrine, 49 HASTINGS L.J. 565, 584 (1998) (explaining that under the Conley notice standard, parties did not have to concern themselves with setting forth facts and establishing their claims or defenses prior to discovery). Thus, contrary to common law and code pleading concerns about narrowing the issues for trial, federal pleading, prior to Twombly and Iqbal, was much more concerned with preventing a party from forgoing a legitimate claim on the doubt that that party lacked factual specificity at the time of filing the complaint. Id. Pleading under the Rules was not meant to trap or trick a party, but was only meant to act as a means to achieve an end to the litigation which was decided on the truth of the issues. See Maurice Rosenberg, Federal Rules of Civil Procedure in Action: Assessing Their Impact, 137 U. PA. L. REV. 2197, 2207 (1989).

37 See Woodfield v. Bowman, 193 F.3d 354, 362 (5th Cir. 1999). See also Instituto Nacional De Comercializacion Agricola (Indeca) v. Cont'l Il. Nat'l Bank and Trust Co., 576 F. Supp. 985, 988 (N.D. Ill. 1983) (holding that affirmative defenses are “subject to the general pleading requirements of Rules 8(a), 8(e) and 9(b), generally requiring only a short and plain statement of the facts but demanding particularity as to the circumstances constituting fraud and mistake”).

38 See Woodfield v. Bowman, 193 F.3d 354, 362 (5th Cir. 1999). See also Instituto Nacional De Comercializacion Agricola (Indeca) v. Cont'l Il. Nat'l Bank and Trust Co., 576 F. Supp. 985, 988 (N.D. Ill. 1983) (holding that affirmative defenses are “subject to the general pleading requirements of Rules 8(a), 8(e) and 9(b), generally requiring only a short and plain statement of the facts but demanding particularity as to the circumstances constituting fraud and mistake”).

39 Id.

40 See, e.g., Swierkiewicz v. Sorema N.A., 534 U.S. 506, 511 (2002) (holding that, in an employment discrimination suit brought “under a notice pleading system,” the facts establishing plaintiff’s claim are not required to be pled); Leatherman v. Tarrant Cnty. Narcotics Intelligence & Coordination Unit, 507 U.S. 163, 168 (1993) (holding that a heightened pleading requirement in a civil rights action is inconsistent “with the liberal system of ‘notice pleading’ set up by the Federal Rules”). For a discussion on the relationship between Swierkiewicz, Leatherman, and Conley, see Scozzaro, supra note 28, at 432 (“In light of Conley, Leatherman, and now Swierkiewicz, a full scale amending of Rule 8 seems unlikely”). There are also a host of lower federal appellate courts affirming and applying this notice standard. See, e.g., Miller v. Am. Heavy Lift Shipping, 231 F.3d 242, 247 (6th Cir. 2000) (“There can be no dispute that our modern rules of civil procedure are based on the concept of ‘simplified notice pleading.’”) (quoting Conley v. Gibson, 355 U.S. 41, 47 (1957), abrogated by Bell Atl. Corp. v. Twombly, 550 U.S. 544 (2007)) (internal quotations omitted); C.H. v. Oliva, 226 F.3d 198, 206 (3d Cir. 2000) (holding that complaints only need to provide the defendant with “fair notice of . . . the plaintiff’s claim . . . .” (quoting Conley, 355 U.S. at 47, abrogated by Bell Atl. Corp. v. Twombly, 550 U.S. 544 (2007))); Monahan v. N.Y.C. Dep’t of Corr., 214 F.3d 275, 283 (2d Cir. 2000) (holding that under the Rules, the opposing party is only required to be provided with “notice of the claim or defense to be litigated . . . .” (citing Conley, 355 U.S. at 47–48,
Supreme Court interpreted Rule 8 to require a plausibility standard, not a notice requirement. In *Twombly*, while ruling on the sufficiency of an antitrust complaint, the Court held that “[t]he need at the pleading stage for allegations plausibly suggesting... agreement reflects Rule 8(a)(2)’s threshold requirement that the ‘plain statement’ possess enough heft to ‘show that the pleader is entitled to relief.’” The Court cited concerns over the cost of litigation and reasoned that such a heightened pleading requirement is necessary to prevent excessive and unnecessarily expensive discovery in cases with no “reasonably founded hope that the discovery process will reveal relevant [information] . . . .”

Following *Twombly*, there was uncertainty in lower federal courts as to the exact standard to apply to all complaints, not simply those involving an antitrust claim. Then, in *Ashcroft v. Iqbal*, the Court definitively closed the door on *Conley*, at least

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*abrogated by* Bell Atl. Corp. v. Twombly, 550 U.S. 544 (2007)).

41 *See infra* notes 47–50 and accompanying text (describing *Twombly*’s and *Iqbal*’s plausibility requirement).


43 *Id.* at 559. This reasoning is a drastic departure from *Conley*, which concerned itself not with controlling the scope of discovery, but with providing litigants with a means to access discovery. See *Conley*, 355 U.S. at 47–48 (holding that the ease of access to discovery under the Rules is meant to provide parties with the ability to uncover the factual basis for the other’s claims or defenses and it is because of this that the Rules adopt notice pleading), *abrogated by* Bell Atl. Corp. v. Twombly, 550 U.S. 544 (2007). Thus, *Conley* and its progeny believed that the pleadings should not be used for factual and issue development, but rather, that the litigants, with the tools of discovery, would do this themselves. See Taylor v. Belger Cartage Serv., Inc., 102 F.R.D. 172, 180–81 (W.D. Mo. 1984) (discussing that attorneys need the liberal discovery processes of the Rules “to explore and develop facts to support established or reasonable extensions of established legal theories”); James E. Brown, *Note, Civil Procedure—Standing and Direct Review in Appellate Court—Center for Auto Safety v. National Highway Traffic Safety Administration*, 793 F.2d 1322 (D.C. Cir. 1986), 60 TEMP. L.Q. 1045, 1056 n.97 (1987) (describing *Conley*’s intentions to use discovery, not pleadings, for factual development). However, with the *Twombly* and *Iqbal* decisions, the complaint will now be used as a form of factual development, in lieu of discovery. See Ashcroft v. Iqbal, 129 S. Ct. 1937, 1949 (2009) (holding that a complaint must now contain some factual basis).

44 *See*, e.g., TalentBurst, Inc. v. Collabera, Inc., 567 F. Supp. 2d 261, 269–70 (D. Mass. 2008) (applying *Twombly* to a tortious interference complaint); Dahl v. Bain Capital Partners, L.L.C., 589 F. Supp. 2d 112, 117 (D. Mass. 2008) (holding that *Twombly* only applies to “a claim under § 1 of the Sherman Act.”). Considering some of the language used in the *Twombly* decision, it is difficult to fault the courts for their uncertainty. See *Twombly*, 550 U.S. at 556 (“In applying these general standards to a § 1 claim, we hold that stating such a claim requires a complaint with enough factual matter (taken as true) to suggest that an agreement was made.” (emphasis added)); *Id.* at 554–55 (“This case presents the antecedent question of what a plaintiff must plead in order to state a claim under § 1 of the Sherman Act.” (emphasis added)). *See also* Ettie Ward, *The After-Shocks of Twombly: Will We “Notice” Pleading Changes?*, 82 ST. JOHN’S L. REV. 893, 906–10 (2008) (discussing the problems that lower federal courts will have to grapple with in a post-*Twombly* world).

45 *Iqbal*, 129 S. Ct. at 1944.
as applied to complaints. In Iqbal, the Court clarified that “Twombly expounded the pleading standard for ‘all civil actions . . .’.” The Court further explained that Rule 8(a) requires complaints to “contain sufficient factual matter, accepted as true, to state a claim to relief that is plausible on its face.” This is a two-part analysis, which first requires the court to determine the statements that are factual support for the complaint, which are treated as true, and the statements that are merely conclusory allegations, which the court will not treat as true. Having identified those statements that are afforded the benefit of truth, the reviewing court must determine whether the factual allegations state “a plausible claim for relief,” which will require the “court to draw on its judicial experience and common sense.” Although the Court in Iqbal clarified that the heightened pleading requirement of Twombly applies to all complaints, the decision was silent as to whether this standard applies to affirmative defenses. With this uncertainty, defendants and lower federal courts have been left to determine

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46 Id. at 1953 (holding that Twombly’s plausibility standard applies to all complaints); Twombly, 550 U.S. at 563 (holding that Conley’s notice standard did not set forth the “minimum standard of adequate pleading” for complaints). As will be discussed though, many courts are still adhering to Conley’s notice pleading standard when assessing an affirmative defense. See infra Parts III(B)–(C)(i).
48 Id. at 1949 (quoting Twombly, 550 U.S. at 570).
49 Id. Although legal conclusions may be necessary to frame a complaint, “they must be supported by factual allegations.” Id. at 1950.
50 Id. Whatever precisely this plausibility standard will require of a district court judge is an issue beyond the scope of this Comment. This Comment does not focus on what the plausibility test actually means, but rather, what test should apply to an affirmative defense. For a discussion of what the test does or should mean, see Charles B. Campbell, A “Plausible” Showing After Bell Atlantic Corp. v. Twombly, 9 REV. L.J. 1, 29 (2008) (describing the plausibility standard as one that will necessarily fluctuate depending on the type of case presented); Schwartz & Appel, supra note 20, at 1127 (arguing that a plausibility standard is not a concrete standard on which to assess a complaint); Adam N. Steinman, The Pleading Problem, 62 STAN. L. REV. 1293, 1293 (2010) (arguing that the plausibility standard is inconsistent with the Rules and prior Supreme Court decisions); Nicholas Tymoczko, Note, Between the Possible and the Probable: Defining the Plausibility Standard After Bell Atlantic Corp. v. Twombly and Ashcroft v. Iqbal, 94 MINN. L. REV. 505, 530 (2009) (“The plausibility standard is best understood as an inferential standard unrelated to notice that is used to assess the substantive sufficiency of a complaint.”).
51 See infra notes 144–45 and accompanying text (noting the lack of language in Twombly and Iqbal that would indicate that those decisions were meant to apply to affirmative defenses). See also Manuel John Dominguez, William B. Lewis & Anne F. O’Berry, The Plausibility Standard as a Double-Edged Sword: The Application of Twombly and Iqbal to Affirmative Defenses, 84 FLA. B.J. 77, 77 (2010) (“Twombly’s application to affirmative defenses has not been widely discussed.”); Arthur R. Miller, From Conley to Twombly to Iqbal: A Double Play on the Federal Rules of Civil Procedure, 60 DUKE L.J. 1, 101 (2010) (“Somewhat uncertain, however, are Twombly’s and Iqbal’s applicability to denials and affirmative defenses.”); Ryan Mize, From Plausibility to Clarity: An Analysis of the Implications of Ashcroft v. Iqbal and Possible Remedies, 58 U. KAN. L. REV. 1245, 1260–61 (2010) (noting that courts after Iqbal have to determine what standard applies to affirmative defenses).
what must be pled in an affirmative defense. Without clear
guidance though, the lower courts have split and are not
applying one clear uniform standard, thus leaving the
affirmative defense in a current state of disarray. 52

III. IN LIGHT OF TWOMBLY AND IQBAL, DISTRICT COURTS ARE
APPLYING A MULTITUDE OF STANDARDS TO AFFIRMATIVE
DEFENSES

Although over sixty district courts have ruled on the
applicability of Twombly and Iqbal to affirmative defenses,53 as of
this publication, no Court of Appeals has directly ruled on the
issue.54 District courts, however, have developed a multitude of
standards to assess an affirmative defense in light of Twombly
and Iqbal.55 Due to this lack of uniformity, the majority of courts
do not apply the plausibility standard. Rather, the courts' decisions
can be parsed into one of the following categories: (1) district courts which apply Twombly and Iqbal's plausibility and fact
pleading requirements;56 (2) district courts which reject
Twombly and Iqbal because Rule 8(a) and 8(c) do not have

52 Despite the uncertain state of the affirmative defense, there is little scholarly work on the issue. Two articles argue for the extension of the plausibility standard to affirmative defenses. See Melanie A. Goff & Richard A. Bales, A “Plausible” Defense: Applying Twombly and Iqbal to Affirmative Defenses, 34 AM. J. TRIAL ADVOC. (forthcoming Spring 2011), available at http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1846918; Joseph Seiner, Plausibility Beyond the Complaint, 53 WM & MARY L. REV. (forthcoming 2012), available at http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1721062. One article argues that the plausibility standard should not be extended to affirmative defenses. See Anthony Gambol, The Twombly Standard and Affirmative Defenses: What is Good for the Goose is Not Good for the Gander, 79 FORD. L. REV. 2173, 2205 (2011). However, Gambol differs from this Comment as Gambol argues that the affirmative defense standard should not be modified at all, and should remain as the Conley notice standard. This Comment, however, argues that such a standard is unfair to the plaintiff, and thus, the affirmative defense pleading standard must be modified.

53 See infra Part III (describing the different approaches courts have taken in assessing the sufficiency of an affirmative defense pled in an answer).


55 See infra Part III.

56 See infra Part III(A) (describing various courts' applications of the plausibility standard to affirmative defenses).
identical language; and (3) district courts which do not explicitly accept or reject Twombly and Iqbal, but rather adopt a standard inconsistent with those decisions.58

A. District Courts That Explicitly Adopt Twombly and Iqbal Are Holding Affirmative Defenses to a Plausibility Standard

A number of district courts interpreted Twombly and Iqbal as announcing a universal pleading standard and, thus, apply the two-part plausibility standard to affirmative defenses.

In Grovernor House, L.L.C., v. E.I. Du Pont De Nemours and Co.,59 the plaintiff filed a motion to strike an affirmative defense pled by the defendant which simply alleged: “[Plaintiff] assumed the risk associated with the design of the canopy.”60 In holding that Twombly’s and Iqbal’s plausibility standard applies to affirmative defenses, the court reasoned that affirmative defenses, like complaints, are subject to Rule 8(a) and its pleading requirements.61 Thus, the court was adopting the reasoning of pre-Twombly courts that affirmative defenses and complaints are subject to the same requirements,62 despite the fact that different rules govern each pleading.63 In assessing the particular affirmative defense at issue in this case, the court granted the motion to strike because the defense was a “bare legal conclusion.”64 By failing to plead any facts, the pleading failed “to suggest that a defense is plausible on its face.”65

57 See infra Part III(B) (describing how certain courts have rejected the Twombly and Iqbal requirements as the Rules do not set forth the same standard for an affirmative defense as they do for a complaint).
58 See infra Part III(C). This category can be further sub-divided into courts which adopt: (i) a notice pleading standard; (ii) a fact pleading standard; or (iii) a standard which makes the factual particularity required depend on the defense pled. For a discussion arguing that the majority of district courts are adopting a plausibility standard, while a minority are rejecting the plausibility standard, or applying a hybrid of both, see Dominguez et al., supra note 51, at 77.
60 Id. at *11.
61 Id. at *4.
62 See Woodfield v. Bowman, 193 F.3d 354, 362 (5th Cir. 1999).
63 Compare Fed. R. Civ. P. 8(a)(2) (“A pleading that states a claim for relief must contain . . . a short and plain statement of the claim showing that the pleader is entitled to relief . . .”), with Fed. R. Civ. P. 8(c)(1) (“In responding to a pleading, a party must affirmatively state any avoidance or affirmative defense . . .” (emphasis added)).
65 Id. at *12. This is certainly a Twombly and Iqbal analysis as it required the court to “draw on its judicial experience and common sense” in making its plausibility determination. See Ashcroft v. Iqbal, 129 S. Ct. 1937, 1950 (2009). Furthermore, this analysis mirrors one that a judge would perform on a Rule 12(b)(6) motion to dismiss a complaint. See, e.g., Wilson v. Price, 624 F.3d 389, 391–92 (7th Cir. 2010) (holding that when faced with a 12(b)(6) motion to dismiss, if the complaint does not state a plausible claim for relief, “the plaintiff pleads itself out of court” (quoting Tamayo v. Blagojevich, 526 F.3d 1074, 1084 (7th Cir. 2008))); Hinton v. Dennis, 362 F. App’x 904, 906 (10th Cir.
Furthermore, in Safeco Insurance Co. of America v. O’Hara Corp., the court expounded on some of the policy reasons behind adopting the Twombly and Iqbal requirements for affirmative defenses. In applying the Twombly and Iqbal standard, the court reasoned that by simply allowing the defendant to list any affirmative defense without providing factual support, the defendant was creating “unnecessary work” for the court and the plaintiff. As such defenses require discovery, allowing any legal conclusion to be sufficient will require every plaintiff to determine “which defenses are truly at issue and which are merely asserted without factual basis but in an abundance of caution.”

Perhaps the most illustrative example of this concern over discovery issues occurred in the following decision. In Palmer v. Oakland Farms, Inc., the defendant pled eighteen affirmative defenses, and the court struck eleven for being conclusory. In applying the Twombly and Iqbal rule, the court reasoned that discovery should not be used to determine the legitimacy of a defense, but instead should be used to uncover additional facts supporting the defense.

A number of cases expressed unease in having separate pleading standards for plaintiffs and defendants as it would not only be unfair, but would make it difficult for the court to determine the sufficiency of an affirmative defense on a motion to strike. In Castillo v. Roche Laboratories, Inc., the court struck
The defendant’s affirmative defense for failing to meet the plausibility requirements of Twombly and Iqbal.76 One defense simply stated: “Plaintiff’s [c]omplaint fails, in whole or in part, to state a claim upon which relief may be granted,”77 and was struck for being a “bare-bones, conclusory statement[] without any factual allegations.”78 The court dismissed the argument that it is unfair to hold a defendant, who has only days to answer, to the same standard as a plaintiff, who may have prepared his or her case for years.79 As “boilerplate defenses . . . require significant unnecessary discovery,”80 the court has to hold a high amount of pretrial conferences and respond to ill-founded summary judgment motions.81 With a basis of the underlying facts needed to support the affirmative defenses, the court has much greater control over the discovery process.82

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76 Id. at *1.
77 Id.
78 Id. at *3 (emphasis omitted).
79 Id.
80 Id.
81 Id.
The courts adopting this standard are focused on judicial economy through narrowing the scope of discovery at the pleading phase.\footnote{Instead of allowing parties to simply list affirmative defenses, as was allowed prior to \textit{Twombly}, these decisions in an effort to narrow the scope of discovery are requiring enough factual support to state a plausible affirmative defense. See, e.g., Teirstein v. AGA Med. Corp., No. 6:08cv14, 2009 WL 704138, at *2 (E.D. Tex. Mar. 16, 2009) (noting that “in some cases, merely pleading the name of the affirmative defense . . . may be sufficient”). However, as will be discussed, this standard has not been uniformly accepted.} This clearly mirrors the Court’s concerns in \textit{Twombly} and \textit{Iqbal} as applied to a plaintiff, because to have to sort through a host of affirmative defenses to determine which actually have validity is costly and burdensome to a plaintiff.\footnote{See Palmer v. Oakland Farms, Inc., No. 5:10cv00029, 2010 WL 2605179, at *5 (W.D. Va. June 24, 2010) (“[A] plaintiff [should] not be left to the formal discovery process to find out whether the defense exists [but should], instead, use the discovery process for its intended purpose of ascertaining the additional facts which support a well pleaded claim or defense.”). In addition to Palmer, for additional district court decisions which hold that \textit{Twombly} and \textit{Iqbal} apply to affirmative defenses, see Groupon Inc. v. MobGob L.L.C., No. 10 C 7456, 2011 WL 2111986, at *2 (N.D. Ill. May 25, 2011) (“[D]espite potentially valid policy arguments for holding affirmative defenses to a lower pleading standard than complaints . . . the Court must apply the same standards, including those expressed recently by the Supreme Court in \textit{Twombly} and \textit{Ashcroft v. Iqbal} . . . .” (citations omitted)); Sanders v. Cont’l Collection Agency, Ltd., No. 11-cv-00448-CMA-MJW, 2011 WL 1706911, at *1 (D. Co. May 5, 2011) (holding that \textit{Iqbal} and \textit{Twombly} apply to affirmative defenses); Shaw v. Prudential Ins. Co. of America, No. 10-03355-CV-WDGK, 2011 WL 1050004, at *2 (W.D. Mo. Mar. 21, 2011) (holding \textit{Iqbal} and \textit{Twombly} apply to affirmative defenses); United States S.E.C. v. Sachdeva, No. 10-C-747, 2011 WL 933967, at *1 (E.D. Wis. Mar. 16, 2011) (holding \textit{Twombly} and \textit{Iqbal} apply to affirmative defenses); Lucas v. Jerusalem Café, LLC, No. 4:10-cv-00582-DGK, 2011 WL 1364075 (W.D. Mo. Apr. 11, 2011) (holding that \textit{Iqbal}’s and \textit{Twombly}’s plausibility standard applies to affirmative defenses); School of the Ozarks, Inc. v. Greatest Generations Found., No. 10-3499-CV-S-ODS, 2011 WL 1337406, at *1 (W.D. Mo. Apr. 7, 2011) (applying the plausibility standard to affirmative defenses); U.S. v. Brink, No. C-10-243, 2011 WL 835828, at *3 (S.D. Tex. Mar. 4, 2011) (holding that \textit{Twombly} and \textit{Iqbal} apply to affirmative defenses); Burns v. Dodeka, L.L.C., No. 4:09-CV-19-BJ, 2010 WL 1903987, at *1 (N.D. Tex. May 11, 2010) (striking affirmative defenses that “are wholly conclusory and fail to plead any facts that demonstrate the plausibility of such defenses as required by \textit{Bell Atlantic Corp. v. Twombly} . . . .”); OSF Healthcare Sys. v. Banno, No. 08-1096, 2010 WL 431963, at *2 (C.D. Ill. Jan. 29, 2010) (holding that \textit{Twombly} and \textit{Iqbal} “dealt with whether a complaint’s allegations satisfied pleading requirements, but their statements seem equally applicable to affirmative defenses, given that affirmative defenses are subject to those same pleading requirements”); AET Rail Grp., L.L.C. v. Siemens Transp. Sys., Inc., No. 08-CV-6442, 2009 WL 5216960, at *4 (W.D.N.Y. Dec. 30, 2009) (“\textit{Twombly} does not require that the complaint (or here, the Answer with affirmative defenses) provide ‘detailed factual allegations,’ . . . however, it must ‘amplify a claim with some factual allegations . . . to render the claim plausible.’” (quoting Bell Atl. Corp. v. Twombly, 550 U.S. 544, 555 (2007)) (quoting \textit{Iqbal} v. Hasty, 490 F.3d 143, 157–58 (2d Cir. 2007), \textit{rev’d sub nom. Ashcroft v. Iqbal}, 129 S. Ct. 1957 (2009))); Premium Standard Farms, L.L.C. v. Travelers Prop. & Cas. Co. of Am., No. 09-0689-CV-W-GAF, 2009 WL 4907063, at *1 (W.D. Mo. Dec. 14, 2009) (holding defendant “has stated plausible affirmative defenses . . . .”); Bank of Montreal v. SK Foods, L.L.C., No. 09 C 3479, 2009 WL 3824668, at *3 (N.D. Ill. Nov. 13, 2009) (striking affirmative defenses for being implausible); Tracy v. NVR, Inc., No. 04-CV-6541L, 2009 WL 3153150, at *7 (W.D.N.Y. Sept. 30, 2009) (“[T]he \textit{Twombly} plausibility standard applies with equal force to a motion to strike an affirmative defense under Rule 12(f).”); In re Mission Bay Ski & Bike, Inc., Nos. 07 B 20870, 08 B 55, 2009 WL 2913438, at *6 (Bankr. N.D. Ill. Sept. 9, 2009) (Affirmative standard has not been uniformly accepted.).}
B. District Courts That Explicitly Reject Twombly’s and Iqbal’s Plausibility Standard Are Applying Conley’s Notice Pleading Standard

Focusing on the textual differences between Rule 8(a) and Rule 8(c), the following decisions explicitly rejected the Twombly and Iqbal standard.

In FTC v. Hope Now Modifications, the court rejected an argument that an affirmative defense must be pled with plausible support because “the Federal Rules of Civil Procedure distinguish the level of pleading required between a plaintiff asserting a claim for relief under Rule 8(a) and a defendant asserting an affirmative defense under Rule 8(c).” When a defendant pleads an affirmative defense, the defendant does not seek a “claim for relief” within Rule 8(a). Thus, the simple Rule 8(c) standard should apply, and a defendant need only “state” the affirmative defense.

In McLemore v. Regions Bank, the court allowed an affirmative defense to stand despite the fact that it only stated that: “Plaintiffs’ fault is comparatively greater than any fault of [defendant’s].” The court held that the Twombly and Iqbal decisions did not affect the pleading standard for affirmative defenses. The court reasoned that the Supreme Court in Twombly and Iqbal was not interpreting Rule 8(c), but rather was only interpreting Rule 8(a)(2)’s requirement that a complaint be stated with “a short and plain statement of the claim showing that the pleader is entitled to relief.” Although Rule 8(b) and Rule 8(a) both require an answer or a complaint to be stated in “short and plain terms,” Rule 8(c) is the applicable standard for affirmative defenses are pleadings and so are subject to all pleading requirements under the Federal Rules. . . . [Thus,] [t]he facts alleged must be sufficient not only to give notice of the nature of the claim but to show the claim is plausible on its face.” (quoting Bell Atl. Corp. v. Twombly, 550 U.S. 544, 570 (2007) (citing Ashcroft v. Iqbal, 129 S. Ct. 1937, 1949 (2009)); Magicon, L.L.C. v. Weatherford Int’l, Inc., Nos. 4:08-cv-03639, 4:08-cv-03636, 2009 U.S. Dist LEXIS 126500, at *6 (S.D. Tex. Aug. 10, 2009) (holding affirmative defenses “must contain sufficient factual matter, accepted as true” to state a plausible defense); JPMorgan Chase Bank, N.A. v. Mal Corp., No. 07 C 2034, 2009 U.S. Dist LEXIS 23540, at *5 (N.D. Ill. Mar. 26, 2009) (“[A]n affirmative defense need only articulate a plausible set of underlying facts.” (quoting SEG Liquidation Co., L.L.C. v. Stevenson, No. 07 C 3456, 2008 WL 623626, at *2 (N.D. Ill. Mar. 6, 2008))).

86 Id.
87 Id. at *2.
89 Id. at *12.
90 Id. at *13.
91 Id. (quoting FED. R. CIV. P. 8(a)(2) (citing Bell Atl. Corp. v. Twombly, 550 U.S. 544, 555 (2007))).
when assessing an affirmative defense, not Rule 8(b). Thus, the above-pled affirmative defense was sufficient because it only needs to be pled in "general terms." 

Furthermore, in Charleswell v. Chase Manhattan Bank, N.A., the court upheld an affirmative defense, which only alleged that "Plaintiffs' claims are barred in whole or in part by Plaintiffs' contributory and/or comparative negligence." As in McLemore, the court reasoned that Twombly was interpreting the word "showing" in Rule 8(a), and under Rule 8(c) the defendant does not have to "show" anything. Thus, the plausibility standard does not apply to a defendant's affirmative defenses pled under Rule 8(c).

A number of courts were troubled by the inherent unfairness in requiring a defendant to plead enough facts to prove an affirmative defense plausible on its face. Specifically, in Holdbrook v. SAIA Motor Freight Line, L.L.C., the court upheld an affirmative defense which merely alleged: "Plaintiff has been the cause of his own damages . . . ." The court reasoned that affirmative defenses are not held to a heightened pleading standard as it is entirely reasonable to hold a plaintiff who may

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92 Id. This decision is focusing not on the underlying policies of the Twombly and Iqbal decisions, but rather on a simple textual analysis of the Rules. Strictly speaking, the court is correct in this regard as the text of Rule 8(a) and 8(c) clearly differ. Compare Fed. R. Civ. P. 8(a)(2) ("A pleading that states a claim for relief must contain . . . a short and plain statement of the claim showing that the pleader is entitled to relief . . . ."). with Fed. R. Civ. P. 8(c)(1) ("In responding to a pleading, a party must affirmatively state any avoidance or affirmative defense.") (emphasis added). In applying the same pre-Twombly standard to affirmative defenses though, the court is taking an approach inconsistent with the new direction of the Supreme Court. As will be discussed further below, this Comment's proposed standard takes into account the textual differences between Rule 8(a) and 8(c), as well as the underlying policies of the Twombly and Iqbal decisions. In so doing, the correct medium is attained, and the concerns of these district court decisions and those of the Twombly and Iqbal decisions are effectively harmonized.
93 McLemore, 2010 WL 1010092, at *13 (quoting Lawrence v. Chabot, 182 F. App'd 442, 456 (6th Cir. 2006), aff'd sub nom. Lawrence v. Welch, 531 F.3d 364 (6th Cir. 2008)).
95 Id. at *6. Similarly, in Henson v. Supplemental Health Care Staffing Specialists, the court upheld an affirmative defense which only alleged: "[t]he doctrines of waiver and/or estoppel preclude the Plaintiff's right to recover in whole or in part." No. CV-09-0397-HE, 2009 U.S. Dist LEXIS 127642, at *2 (W.D. Okla. July 30, 2009). When the complaint and the defense are examined together, the defense provides the plaintiff with enough to be informed "of the nature of the defense . . . ." Id. at *4.
96 Chase Manhattan Bank, 2009 WL 4981730, at *4. See also Romantine v. CH2M Hill Eng'rs, Inc., No. 09-973, 2009 WL 3417469, at *1 (W.D. Pa. Oct. 23, 2009) ("This court does not believe that Twombly is appropriately applied to either affirmative defenses under 8(c), or general defenses under Rule 8(b) . . . .").
97 See infra notes 99–101 and accompanying text.
99 Id. at *1.
have years to investigate his or her claims to a higher standard than a defendant who has only twenty-one days to respond to the complaint.\footnote{Id. at *2. Consider also, the court in Baum v. Faith Technologies, which held that it is unfair to “expect defendants to be aware of all the necessary facts’ required to make up an affirmative defense, as the defendant has not yet had an opportunity to conduct discovery.” N.D. Okla. J.O. 09-536, 2010 WL 2965451, at *4 (N.D. Okla. Jul. 22, 2010).}

Thus, these decisions explicitly rejected the policy rationales underlying the \textit{Twombly} and \textit{Iqbal} decisions.\footnote{See Ashcroft v. Iqbal, 129 S. Ct. 1937, 1949 (2009); Bell Atl. Corp. v. Twombly, 550 U.S. 544, 559 (2007).} Instead, they focused on the textual differences between Rule 8(a) and 8(c), as well as fairness concerns, and held that the plausibility standard is not applicable to affirmative defenses.\footnote{Thus, at least to these decisions, \textit{Conley} is not entirely irrelevant as a pleading standard; rather, it only applies to an affirmative defense. Therefore, \textit{Conley} is truly not “bad law” as these decisions are clearly adopting this standard. If \textit{Conley} is somehow remain applicable after the \textit{Twombly} and \textit{Iqbal} decisions, it would most likely have to be through an affirmative defense. For additional decisions which reject the plausibility standard, see Bowers v. Mortgage Electronic Registration Systems, No. 10–4141–JTM–DJW, 2011 WL 2149423, at *4 (D. Kan. June 1, 2011) (holding that affirmative defenses “are not subject to the rationale and holdings of \textit{Twombly} and \textit{Iqbal}.”); Schlief v. Nu-Source, Inc., No. 10–4477 (DWF/SER), 2011 WL 1560672, at *9 (D. Minn. Apr. 25, 2011) (“The Court concludes that the pleading standard set forth in \textit{Twombly} and \textit{Iqbal} does not apply to affirmative defenses.”); Falley v. Friends University, No. 10–1423–CM, 2011 WL 1429956, at *4 (D. Kan. Apr. 14, 2011) (“The pleading standards enunciated in \textit{Twombly} and \textit{Iqbal} should be limited to complaints—not extended to affirmative defenses.”); Tyco Fire Products LP v. Victaulic Co., No.10–4645, 2011 WL 1399847, at *1 (E.D. Pa. Apr. 12, 2011) (“\textit{Twombly} and \textit{Iqbal} do not apply to affirmative defenses.”); In re Washington Mutual, Inc. Securities, Derivative & ERISA Litigation, No. 08–md–1919 MJP, 2011 WL 1158387, at *1 (W.D. Wa. Mar. 25, 2011) (holding \textit{Twombly} and \textit{Iqbal} do not apply to affirmative defenses); Jeepers’ of Auburn, Inc. v. KWJB Enterprise, L.L.C., No. 10–13682, 2011 WL 1899195, at *2 (E.D. Mich. Mar. 16, 2011) (holding that since \textit{Iqbal} and \textit{Twombly} do not expressly apply to affirmative defenses, then they should not be extended as such); Sewell v. Allied Interstate, Inc., No. 3:10–CV–113, 2011 WL 32209, at *7 (E.D. Tenn. Jan. 5, 2011) (holding that since \textit{Twombly} and \textit{Iqbal} do not expressly apply to affirmative defenses, then they should not be extended as such); Ameristar Fence Products, Inc. v. Phoenix Fence Co., No.CV–10–299–PHX–DGC, 2010 WL 2803907, at *1 (D. Ariz. July 15, 2010) (“[T]he pleading standards enunciated in \textit{Twombly} and \textit{Ashcroft v. Iqbal} . . . have no application to affirmative defenses pled under Rule 8(c).”); Jackson v. City of Centreville, 269 F.R.D. 661, 662 (N.D. Ala. 2010) ("The Supreme Court desired to prevent plaintiffs with groundless claims from wasting judicial and other legal resources . . . . Neither \textit{Twombly} nor \textit{Iqbal} address Rules 8(b)(1)(A) and 8(c) which pertain to affirmative defenses." (citations omitted)); First National Insurance Co. of America v. Camps Services, Ltd., No.08–cv–12805, 2009 U.S. Dist LEXIS 149, at *5 (E.D. Mich. Jan. 5, 2009) (“\textit{Twombly’s} analysis of the ‘short and plain statement’ requirement of Rule 8(a) is inapplicable to this motion under Rule 8(c).”); Westbrook v. Paragon Systems, Inc., No. 07–0714–WS–C, 2007 U.S. Dist LEXIS 88490, at *2 (S.D. Ala. Nov. 29, 2007) (“\textit{Twombly} was decided under Rule 8(a), . . . and plaintiff has identified no case extending it to Rule 8(b) or (c).” (citation omitted)). In addition to the above, a number of decisions implicitly rejected \textit{Twombly’s} and \textit{Iqbal’s} pleading requirements. See Chatelaine, Inc. v. Twin Modal, Inc., No. 3:10–CV–676, 2010 U.S. Dist LEXIS 89348, at *5 (N.D. Tex. Aug. 27,
C. District Courts That Do Not Explicitly Adopt or Reject *Twombly* and *Iqbal* Are Applying Standards Inconsistent with Those Decisions

A number of district courts have explicitly neglected to decide the *Twombly* and *Iqbal* issue, but rather simply adopt a different standard or revert to *Conley*’s notice standard. Thus, these decisions, although not explicit, are rejecting the *Twombly* and *Iqbal* rule as they are applying standards inconsistent with the plausibility test.

i. District Courts That Apply a Notice Pleading Standard

These decisions simply cite *Twombly* or *Iqbal* and then conclude that the proper standard is one of only providing the plaintiff with “fair notice” of the defense, not of providing the plaintiff with any factual basis for the defense.104

In *BJ Energy, L.L.C. v. PJM Interconnection, L.L.C.*, 105 the court declined to strike an affirmative defense which simply stated: “[Plaintiff] has failed to mitigate any damages it purports to have suffered.”106 Despite failing to allege any factual basis for why or how the plaintiff failed to mitigate damages, “fair notice of the nature of the defense” is all that is required as the discovery process, not the pleadings, are the proper mechanism in which to uncover the factual basis for the defenses.107 This is

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104 *See, e.g.*, Local 165 v. DEM/EX Grp. Inc., No. 09-1356, 2010 WL 971811, at *2 (C.D. Ill. Mar. 11, 2010) (“[T]here must be enough to give the opposing party notice of the basis for the claim or defense.” (citing Bell Atl. Corp. v. Twombly, 550 U.S. 544, 570 (2007))).


106 *Id.* at *4.

107 *Id.* at *2, *5. A true *Twombly* and *Iqbal* analysis would most certainly have struck this. For starters, there are literally no factual allegations in the defense. *See Iqbal*, 129 S. Ct. at 1949 (“[A] complaint must contain sufficient factual matter, accepted as true, to ‘state claim to relief that is plausible on its face.’” (emphasis added) (quoting Bell Atl. Corp. v. Twombly, 550 U.S. 544, 570 (2007))). A plaintiff trying to focus the scope of discovery would have to broadly determine the defendant’s reasons, if any, for asserting the defense. This would require ascertaining what the defendant thinks, which necessarily can be a wide range of topics. *See Geoffrey C. Hazard, Jr., From Whom No Secrets Are Hid*, 76 Tex. L. Rev. 1665, 1685 (1998) (“Since discovery extends under Rule 26 to anything ‘relevant to the subject matter,’ relevance must be ascertained by some other mechanism. The only effective alternative is discovery itself.”). This will only protract
clearly antithetical to Twombly’s and Iqbal’s intentions of denying a party access to discovery by merely pleading legal conclusions, which may provide notice of the claim, but are devoid of any factual basis. Thus, this decision and others cited, revert to notice pleading, a much lower bar for a defendant to surmise, which accomplishes little in reducing the costs of discovery.

litigation and add to its costs. See Andrew P. Morriss & Jason Korosec, Private Dispute Resolution in the Card Context: Structure, Reputation, and Incentives, 1 J.L. Econ. & Pol’Y 393, 403 (2005) (explaining that under a notice pleading regime limitations on the parties’ ability to conduct lengthy depositions discovery is not very limited as the parties must attempt to determine what can be relevant to the vaguely stated claim or defense); Ettie Ward, Will the Proposed Amendments to the Federal Rules of Civil Procedure Improve the Pretrial Process?, 72 N.Y. St. B.A. J. 18, 24 (2000) (discussing the effect of depositions on discovery costs); Michael G. Dailey, Comment, Preemption of State Court Class Action Claims for Securities Fraud: Should Federal Law Trump?, 67 U. Cin. L. Rev. 587, 595 (1998–1999) (explaining how the expensive costs of discovery can cause a defendant to settle instead of attempting to bear these costs).


ii. District Courts That Require an Adequate Factual Basis or Factual Particularity to Be Pled Are Not Adopting the Plausibility Standard

These decisions were fractured from Part III(A) because the cases in this category do not apply both the factual and plausibility elements of the Twombly and Iqbal decisions.110 Rather, these cases require facts to be pled, but not necessarily enough to give rise to a defense “that is plausible on its face.”111 Notably, in Holtzman v. B/E Aerospace, Inc.,112 the court held that nine of the defendant’s seventeen affirmative defenses pled “insufficient allegations of fact.”113 Citing Twombly, the court reasoned that factual support must be pled in an affirmative defense because a plaintiff should not simply have to guess as to what the basis is for the defendant’s assertions.114 However, the court stopped short of requiring the defense to be plausible, but rather only required that factual support be provided.115

Thus, this decision and others cited were not assessing the sufficiency of factual support provided under a plausibility standard, but were simply assessing whether or not any facts were pled.116

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110 Iqbal, 129 S. Ct. at 1949 (“[A] complaint must contain sufficient factual matter, accepted as true, to ‘state a claim for relief that is plausible on its face.’” (quoting Twombly, 550 U.S. at 570)).
111 Id. See also infra notes 112–12 and accompanying text.
113 Id. at *2.
114 Id. (quoting Stoner v. Walsh, 772 F. Supp. 790, 800 (S.D.N.Y. 1991)).
115 Id.
116 Therefore, this did not encompass the two part plausibility requirement, but rather only required some factual basis. See Ashcroft v. Iqbal, 129 S. Ct. 1937, 1949 (2009). For the remaining decisions applying this standard, see Luvata Buffalo, Inc. v. Lombard General Insurance Co. of Canada, No. 08-CV-00084(A)(M), 2010 WL 826953, at *8 (W.D.N.Y. Mar. 4, 2010) (“Affirmative defenses which amount to nothing more than mere conclusions of law and are not warranted by any asserted facts have no efficacy.” (quoting Shechter v. Comptroller of N.Y.C., 79 F.3d 265, 270 (2d Cir. 1996)); Cosmetic Warriors, Ltd. v. Lush Boutique, L.L.C., No. 09-6381, 2010 U.S. Dist LEXIS 16392, at *4 (E.D. La. Feb. 1, 2010) (“[A] defendant must plead an affirmative defense with . . . factual particularity.”); IndyMac Venture, L.L.C. v. Silver Creek Crossing, L.L.C., C09-1069Z, 2010 U.S. Dist LEXIS 34275, at *11 (W.D. Wash. Mar. 18, 2010) (“Courts have stricken defenses that were unsupported by facts entitling defendants to relief . . . and when defenses rely on facts that, even if true, would not provide a valid defense to the claims asserted” (citations omitted)); EEOC v. Hibbing Taconite Co., 266 F.R.D 260, 268 (D. Minn. 2009) (“[T]he defendant [must] plead an adequate factual basis for affirmative defenses, where the basis is not apparent by the defense’s bare assertion.”); Solis v. Zenith Capital, L.L.C., No. C 08-4854 PJH, 2009 U.S. Dist LEXIS 43550, at *6 (N.D. Cal. May 8, 2009) (“Where an affirmative defense simply states a legal conclusion or theory without the support of facts explaining how it connects to the instant case, it is insufficient and will not withstand a motion to strike.”); Stoffels v. SBC Communications, No. 05-CV-0233-WWJ, 2008 WL 4391396, at *1 (W.D. Tex. Sept. 22, 2008) (holding that affirmative defenses must “provide the grounds for entitlement to relief and requires ‘more than labels and conclusions’ and must be pled with ‘factual particularity’” (quoting Bell Atl.
iii. One District Court Applied Both the Plausibility Standard and the Fair Notice Standard to Affirmative Defenses

The parties in Voeks v. Wal-Mart Stores, Inc., debated over whether the Twombly standard should apply or if the fair notice standard outlined in Woodfield v. Bowman should apply. The court held that in fact both should apply because “Twombly and Woodfield are not materially different.” The proper test is not that “specific facts are necessary; but, that the statement need only give . . . fair notice of what the . . . claim is and the grounds upon which it rests.” The amount and specificity of facts which are required to be pled turn on the defense pled, and the specific case in which it is pled. Although this decision was not adopting a plausibility standard, it did require the answer at least to provide the grounds on which the defense rests, which may include certain facts depending on the particular defense pled.

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118 Woodfield v. Bowman, 193 F.3d 354, 362 (5th Cir. 1999) (holding that a defendant “must plead an affirmative defense with enough specificity or factual particularity to give the plaintiff ‘fair notice’ of the defense that is being advanced”).
121 Id. The court upheld a statute of limitations defense because although “not pled with much detail,” it is essentially “self-explanatory.”
122 Id. (“Twombly and Woodfield are not materially different”). The court also required the elements of equitable defenses to be pled. Id. Other courts take a similar approach to equitable defenses. See, e.g., Bartashnik v. Bridgeview Bancorp, Inc., No. 05 C 2731, 2005 WL 3470315, at *4 (N.D. Ill. Dec. 15, 2005) (“Equitable defenses . . . must be pled with the specific elements required to establish the defense.” (quoting Yash Raj Films Inc. v. Atl. Video, No. 03 C 7069, 2004 WL 1200184, at *3 (N.D. Ill. May 28, 2004))).
D. Summary of the Current State of the Affirmative Defense

Overall, the *Twombly* and *Iqbal* standard has not been uniformly applied to affirmative defenses, and the majority of courts dealing with the issue have not applied the plausibility standard. Some may explicitly adopt or reject the standard, while many simply dodge the issue and apply a different standard. As the current state of the affirmative defense is marred with a multitude of varying rules and rationales, the true question becomes: What standard should ultimately be adopted? In order to answer this question properly, a reconciliation must be had between the *Twombly* and *Iqbal* decisions, the textual language of the Rules, and the practical implications of adopting a certain standard.


To reiterate, when ruling on how properly to assess an affirmative defense, district courts today are essentially left with three standards: (1) apply the *Twombly* and *Iqbal* plausibility standard, as allowing a defense to survive a motion to strike simply because it can succeed under some conceivable circumstance certainly does not mean that the defense is necessarily plausible.

124 In addition to the above categories, a number of decisions assumed, but did not hold, that *Twombly* or *Iqbal* did or did not apply. See Ahle v. Veracity Research Co., 738 F. Supp. 2d 896, 925 (D. Minn. 2010) (“Even if the heightened pleading standards are not applicable to affirmative defenses, Plaintiffs have not been given adequate notice [of the affirmative defense].”); Lapic v. MTD Prods., Inc., No. 09-760, 2009 WL 3030305, at *3 (W.D. Pa. Sept. 17, 2009) (“[E]ven if the new standards of *Twombly* apply to affirmative defenses, Plaintiff has not demonstrated that Defendant’s affirmative defenses fail to give him ‘fair notice’ of the nature of the defenses . . ..”).

125 This conclusion differs from that of other commentators and courts that have concluded that the majority of courts actually do adopt the plausibility standard. See Barnes v. AT&T Pension Benefit Plan-Nonbargained Program, 718 F. Supp. 2d 1167, 1171 (N.D. Cal. 2010) (holding that most courts “have extended *Twombly’s* heightened pleading standard to affirmative defenses”); Dominguez et al., supra note 51, at 77 (“The vast majority of district courts that have considered the issue . . . hold that *Twombly’s* plausibility standard applies to [the pleading of] affirmative defenses”); Mize, supra note 51, at 1260 (arguing that the majority of courts have applied the plausibility standard to affirmative defenses). This Comment’s conclusion is based upon the fact that only the decisions discussed and cited in Part III(A) apply the two part plausibility test. It is not enough that a decision simply cites *Twombly* or *Iqbal*, but then goes on to apply a different standard. The plausibility standard is a two part standard requiring facts and a plausible defense. See supra notes 48–50 and accompanying text. Anything less is not a true *Twombly* and *Iqbal* analysis. Thus, this Comment concludes that based on the totality of the decisions not listed in Part III(A), the majority of district courts are not actually applying the *Twombly* and *Iqbal* standard to affirmative defenses.
standard; (2) apply the Conley notice pleading standard; or (3) apply the adequate factual basis standard. This Comment proposes that the proper standard to be applied to an affirmative defense is one that requires "the defendant to plead an adequate factual basis for [his or her] affirmative defense." This would not assess the plausibility of the defense, but only whether there is a factual basis for the defense.

One may argue that such a standard is inconsistent with the Supreme Court's decision of Swierkiewicz v. Sorema, N.A., where the Court held that Rule 8's notice pleading standard is "inextricably linked" amongst the Rules. However, even if Swierkiewicz is the Court's newest "notice pleading decision," Twombly and Iqbal are the Court's only plausibility pleading decisions. The Twombly and Iqbal decisions explicitly overruled Conley's notice pleading standard and in so doing, broke any link a notice pleading regime may have had between Rule 8 and the other Rules. Thus, to argue that Swierkiewicz

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126 See supra Part III(A).

127 This encompasses those courts that explicitly rejected the plausibility standard and applied a notice standard and those which did not explicitly reject the plausibility standard, but applied a notice standard regardless. See supra Parts III(B)–(C)(i).

128 See supra Part III(C)(ii). To a lesser extent, this would encompass Voeks v. Wal-Mart Stores, Inc, as that decision required factual particularity to the extent the particular case and defense called for it, 2008 WL 89434, at *6.


130 A fact-pleading standard would not assess the ability of the pleader to prove the defense pled. See, e.g., 49A William Lindley, J.D. ET AL, CALIFORNIA JURISPRUDENCE 3D PLEADING § 77 (2010) ("A [pleader] need only plead facts showing that he or she may be entitled to some relief; a court is not concerned with the [pleader's] possible inability or difficulty in proving the allegations of the [pleading]."). Rather, a standard requiring facts to be pled, as this Comment's proposed standard would, only focuses on the defendant's ability to plead a factual basis for the affirmative defense. This does not require the reviewing judge to assess the plausibility of the defense, but it would still require the defendant to comply with Rule 8, and thus, the defendant could not simply conjure up some factual basis, which in reality never had any relevance to the defense. See Fed. R. Civ. P. 11(b)(3) ("By presenting to the court a pleading, written motion, or other paper ... an attorney or unrepresented party certifies that to the best of the person's knowledge, information, and belief, formed after an inquiry reasonable under the circumstances: ... the factual contentions have evidentiary support or, if specifically so identified, will likely have evidentiary support after a reasonable opportunity for further investigation or discovery ... ").


132 Id. at 513.

133 See Scozzaro, supra note 28, at 429–30 ("Where Conley addressed the pleading issue as an aside, Swierkiewicz took it head on and met it in the 'center ring' ... Swierkiewicz will supplant Conley as 'the' notice pleading decision."). See also supra Part II (discussing Twombly's and Iqbal's plausibility standard).

134 See infra notes 143–46 and accompanying text (noting that Twombly and Iqbal only interpreted Rule 8(a)).

is anything more than a reminder that Conley was the proper standard for a complaint is to overlook the Twombly and Iqbal decisions’ language and purpose. When Iqbal explicitly announced that “Rule 8... does not unlock the doors of discovery for a plaintiff armed with nothing more than conclusions,” it not only defeated Swierkiewicz’s notice mandate, but it announced that the plaintiff and defendant are not inextricably linked to the same pleading standard.

Thus, in light of Twombly and Iqbal, the issue becomes whether affirmative defenses should remain untouched or if a change is necessary. This Comment’s proposed adequate factual basis standard is the proper solution to this issue due to: (1) the textual language of Rule 8; (2) the policies expressed in Twombly and Iqbal; and (3) overall fairness concerns to not only the defendant, but the plaintiff as well.

A. Rule 8 Does Not Set Forth the Same Standard for Complaints and Affirmative Defenses

Based on a simple textual reading of Rule 8(c) and Rule 8(a), it is clear that the rules do not set forth identical standards for affirmative defenses and complaints. According to Rule 8(c) the defendant need only “affirmatively state any avoidance or affirmative defense.”

136 See Michael R. Huston, Note, Pleading With Congress to Resist the Urge to Overrule Twombly and Iqbal, 109 MICH. L. REV. 415, 437 (2010) (discussing why Twombly and Iqbal show that the Court’s attention has now turned to addressing “the costs and burdens of discovery in modern federal litigation”).

137 Ashcroft v. Iqbal, 129 S. Ct. 1937, 1950 (2009) (emphasis added). In so holding, the Court was making it clear that its focus was now on controlling discovery, not allowing discovery. See Sybil Dunlop & Elizabeth Cowan Wright, Plausible Deniability: How the Supreme Court Created a Heightened Pleading Standard Without Admitting They Did So, 33 HAMLINE L. REV. 205, 241 (2010) (noting that the Twombly and Iqbal decisions display that the Court has now recognized that the costs of discovery may force parties to settle). This is clearly a break from the Swierkiewicz and Conley Court’s rationale and focus on “liberal discovery.” Thomas, supra note 135 at 36 (explaining that the Iqbal Court’s focus on controlling the scope and costs of discovery is clearly inconsistent with the Swierkiewicz Court’s emphasis on “liberal discovery” (quoting Swierkiewicz v. Sorema N.A., 534 U.S. 506, 512 (2002))). See also infra notes 143–46 and accompanying text (discussing how Twombly and Iqbal were only meant to apply to complaints).

138 See, e.g., FTC v. Hope Now Modifications, No. 09-1204, 2011 WL 883202, at *3 (D. N.J. Mar. 10, 2011) (”[T]he Federal Rules of Civil Procedure distinguish the level of pleading required between a plaintiff asserting a claim for relief under Rule 8(a) and a defendant asserting an affirmative defense under Rule 8(c).”); McLemore v. Regions Bank, No. 3:08-cv-0021, 3:08-cv-1003, 2010 WL 2010092, at *13 (M.D. Tenn. Mar. 18, 2010) (noting that although Rule 8(b) and Rule 8(a) both require an answer or a complaint, respectively, to be stated “in short and plain terms”... ‘Rule 8(b) does not apply when a defendant asserts an affirmative defense.’); First Nat’l Ins. Co. of Am. v. Camps Servs., LTD, No. 08-cv-12805, 2009 U.S. Dist LEXIS 149, at *4–5 (E.D. Mich. Jan. 5, 2009) (holding that Twombly was interpreting Rule 8(a), not 8(c)).

139 FED. R. CIV. P. 8(c) (emphasis added).
complaint “must contain . . . a short and plain statement of the claim showing that the pleader is entitled to relief.” Thus, a defendant must only “affirmatively state” an affirmative defense, while a plaintiff must “show” that he or she “is entitled to relief.” The Rules necessarily require less of a defendant pleading an affirmative defense than of a plaintiff pleading a claim for relief. Most instructive of this lack of commonality is that nowhere in Twombly or Iqbal does the Court mention either answers or affirmative defenses. To be precise, Iqbal is strewn with language, which, at a bare minimum, heavily implies that it was only meant to apply to complaints:

Where a complaint pleads facts that are “merely consistent with” a defendant’s liability, it “stops short of the line between possibility and plausibility of ‘entitlement to relief . . . .’

The tenet that a court must accept as true all of the allegations contained in a complaint is inapplicable to legal conclusions . . . only a complaint that states a plausible claim for relief survives . . . . Determining whether a complaint states a plausible claim for relief will . . . be a context-specific task . . . . But where the well-pleaded facts do not permit the court to infer more than the mere possibility of misconduct, the complaint has alleged—but it has not “shown”—“that the pleader is entitled to relief.”

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140 FED. R. CIV. P. 8(a)(2) (emphasis added).
141 FED. R. CIV. P. 8(c).
142 FED. R. CIV. P. 8(a)(2).
143 A number of district courts explicitly recognized this lack of language in Twombly and Iqbal. Ameristar Fence Prods., Inc. v. Phoenix Fence Co., No. CV-10-299-PHX-DGC, 2010 WL 2803907, at *1 (D. Ariz. July 15, 2010) (“[T]he pleading standards enunciated in Twombly and Ashcroft v. Iqbal . . . have no application to affirmative defenses pled under Rule 8(c).”); McMimore v. Regions Bank, Nos. 3:08-cv-0021, 3:08-cv-1003, 2010 WL 1010092, at *13 (M.D. Tenn. Mar. 18, 2010) (“[T]wombly does not mention affirmative defenses or any other subsection of Rule 8. Iqbal also focused exclusively on the pleading burden that applies to plaintiffs’ complaints.”). The Court was not interpreting what a defendant must affirmatively state under Rule 8(c), but rather was only interpreting the word “showing” which exclusively appears in Rule 8(a) governing complaints. See Kevin M. Clermont, Three Myths About Twombly-Iqbal, 45 WAKE FOREST L. REV. 1337, 1359 (2010). Thus, as Iqbal held that only “a complaint” must be plausible, the Court was making it clear that it was only assessing the pleading requirements for complaints. Ashcroft v. Iqbal, 129 S. Ct. 1937, 1949 (2009) (emphasis added). See also Roger M. Michalski, Assessing Iqbal, HARV. L. & POLY REV. ONLINE (Dec. 8, 2010), http://hilpronline.com/2010/12/assessing-iqbal/ (discussing how Twombly and Iqbal are only concerned with Rule 8(a)); Sean Warjert, Does the Twombly-Iqbal Pleading Standard Apply to Defenses Too?, MASS TORT DEFENSE (Jan. 12, 2010), http://www.masstortdefense.com/2010/01/articles/does-the-twomblyiqbal-pleading-standard-apply-to-defenses-too/ (“The Supreme Court addressed in Twombly the requirements for a well-pleaded complaint under Fed.R.Civ.P. 8(a)’s ‘short and plain statement’ requirement. No such language, however, appears within Rule 8(c), the applicable rule for affirmative defenses. As such, Twombly’s analysis of the ‘short and plain statement’ requirement of Rule 8(a) is inapplicable to a motion under Rule 8(c).”) (quoting FED. R. CIV. P. 8(a)).
144 Recall that Rule 8(a)(2) requires that “[a] pleading that states a claim for relief
Furthermore, consider the language used in *Twombly* to expound its holding:

[S]tating such a *claim* requires a *complaint* with enough factual matter . . . to suggest that an agreement was made . . . And, of course, a well-pleaded *complaint* may proceed even if it strikes a savvy judge that actual proof of those facts is improbable . . . .

. . . .

The need . . . for allegations plausibly suggesting . . . agreement reflects the threshold requirement of Rule 8(a)(2) that the “plain statement” possess enough heft to “show[ ] that the pleader is entitled to relief.”[149] . . . An allegation of parallel conduct is thus much like a naked assertion of conspiracy in a § 1 *complaint*: it gets the *complaint* close to stating a claim, but without some further factual enhancement it stops short of the line between possibility and plausibility of “entitlement to relief.”[147]

Contrast this language with that of *Conley*, which is much more susceptible to a universal pleading interpretation:[148]

Such simplified “notice pleading” is made possible by the liberal opportunity for discovery and the other pretrial procedures

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145 *Iqbal*, 129 S. Ct. at 1949–50 (emphasis added) (citations omitted) (quoting Bell Atl. Corp. v. Twombly, 550 U.S. 544, 550 (2007)). *Iqbal* went to extra bounds to only overrule that part of *Conley* which applies to complaints:

We could go on, but there is no need to pile up further citations to show that *Conley’s* “no set of facts” language has been questioned, criticized, and explained away long enough. To be fair to the *Conley* Court, the passage should be understood in light of the opinion’s preceding summary of the complaint’s concrete allegations, which the Court quite reasonably understood as amply stating a *claim* for *relief* . . . . The phrase is best forgotten as an incomplete, negative gloss on an accepted pleading standard: once a *claim* has been stated adequately, it may be supported by showing any set of facts consistent with the allegations in the *complaint*. *Twombly*, 550 U.S. at 562–63 (emphasis added).

146 See supra note 144 (noting the similarity of language used by the Court and Rule 8(a)).


Additionally, *Twombly* went to extra bounds to only overrule that part of *Conley* which applies to complaints.
established by the Rules to disclose more precisely the basis of both claim and defense . . . Following the simple guide of Rule 8(f) that “all pleadings shall be so construed as to do substantial justice,” . . . “if the Federal Rules reject the approach that pleading is a game of skill . . . and accept the principle that the purpose of pleading is to facilitate a proper decision on the merits.” 149

When taken together, the Court in Twombly and Iqbal solely intended to determine what a complaint under Rule 8(a) needs to contain to survive a Rule 12(b)(6) motion to dismiss, 150 not what an affirmative defense under Rule 8(c) must contain to survive a Rule 12(f) motion to strike. 151 Nonetheless, this break from Conley’s notice standard necessarily raises the question of whether the policies and effects of Twombly and Iqbal will require a change to the standard applied to an affirmative defense. 152

B. A Standard That Requires Facts to Be Pled Will Combat the Twombly and Iqbal Courts’ Concerns Regarding the Cost and Broad Scope of Discovery

Although Twombly and Iqbal only raised the pleading standard for a complaint, the Court did set forth a principle that should apply to an affirmative defense. 153 An affirmative defense is not simply a denial, it is an assertion by the defendant bringing with it new facts and allegations, which, if true, will defeat the plaintiff’s claim, regardless of the complaint’s legitimacy. 154 As plaintiffs must investigate these assertions,
affirmative defenses affect the scope of discovery as well.\footnote{155}{Although raising the pleading standard for a plaintiff will narrow the scope of discovery, raising the standard for a defendant will narrow its scope even more, thereby reducing costs to both parties. Thus, simply put, the broader the scope of discovery, the more expensive discovery is; while the narrower the scope, the less expensive it becomes. \textit{Compare Justice Scott Brister, The Decline in Jury Trials: What Would Wal-Mart Do?}, 47 S. Text. L. Rev. 191, 209–10 (2005) (noting that when discovery’s scope is broad, “pretrial costs normally far exceed those incurred at trial”), with Dwayne J. Hermes, Jeffrey W. Kemp & Paul B. Moore, \textit{Leveling the Legal Malpractice Playing Field: Reverse Bipartition of Trials}, 36 St. Mary’s L.J. 879, 920 (2005) (noting that when the scope of discovery is limited, it “will often enable the dispute to reach trial sooner than otherwise possible, while reducing the discovery costs to the litigants . . . ”).} Thus, the Court’s intentions in \textit{Twombly} and \textit{Iqbal} to combat discovery costs through a heightened pleading requirement should apply, to some degree, to affirmative defenses.\footnote{156}{See Ashcroft v. Iqbal, 129 S. Ct. 1937, 1954 (2009) (holding that where a “complaint is deficient under Rule 8, [the complainant] is not entitled to discovery . . . ”); Bell Atl. Corp. v. Twombly, 550 U.S. 544, 559 (2007) (holding that high discovery costs create problems in litigation as they may force parties to settle in lieu of facing these costs). Although it is true that this Comment concludes that the pleading standard should be lower for affirmative defenses, it does not follow that it should not be raised. As plaintiffs must seek discovery on affirmative defenses, in order to truly fulfill the Court’s concern regarding the scope of discovery, the defendant should not simply be allowed to assert a host of affirmative defenses without providing the plaintiff with some direction as to their factual basis. See Susan S. DeSanti, \textit{Whither Antitrust in the Supreme Court?}, 7 Antitrust Source 1, 1 (2007) (noting that the Court in \textit{Twombly} concluded that lower federal judges have been unable to control the costs of discovery in antitrust cases); Scott Dodson, \textit{New Pleading, New Discovery}, 109 Mich. L. Rev. 53, 64 (2010) (noting that the Supreme Court changed the pleading standard due to its concern over “high discovery costs”); Douglas G. Smith, \textit{The Twombly Revolution?}, 36 Pept. L. Rev. 1063, 1073 (2009) (“[T]he Court expressed a concern that discovery costs were only increasing and that lawsuits were being settled based on their in terrorem value rather than the actual merits of the case.”). Consistent with the Court’s rationale, many district courts, as noted, require facts to be affirmatively stated at the pleading stage. \textit{See}, e.g., Cosmetic Warriors, Ltd. v. Lush Boutique, L.L.C., No. 09-6381, 2010 U.S. Dist LEXIS 16392, at *4 (E.D. La. Feb. 1, 2010) (holding that a defendant must provide “factual particularity” in the affirmative defense); \textit{Equal Emp’t Opportunity Comm’n v. Hibbing Taconite Co.,} 266 F.R.D. 260, 268 (D. Minn. 2009) (holding that affirmative defenses must be pled with “an adequate factual basis”); Stoffels v. SBC Commc’ns, Inc., No. 05-CV-0233-WWJ, 2008 WL 4391396, at *1 (W.D. Tex. Sept. 22, 2009) (holding that affirmative defenses require “more than labels and conclusions” and must be pled with “factual particularity” (quoting Bell Atl. Corp. v. Twombly, 550 U.S. 544, 570 (2007))).} The \textit{Twombly} Court was first to pronounce the Court’s concern over discovery costs when it explained that the purpose of adopting a heightened pleading requirement is to relieve the parties of the high costs of discovery wasted on claims or defenses which are not actually grounded in a factual basis.\footnote{157}{\textit{Twombly}, 550 U.S. at 559 (quoting \textit{Dura Pharm., Inc. v. Broudo}, 544 U.S. 336, 347 (2005)).} As \textit{Iqbal} confirmed, the focus of the pleadings now is to ensure that Rule 8 is not simply the means to discovery for a party “armed with nothing more than conclusions.”\footnote{158}{\textit{Iqbal}, 129 S. Ct. at 1950. \textit{See also} Robert G. Bone, \textit{Plausibility Pleading Revisited}}
Thus, as Twombly pointed out, Conley’s requirement that mere notice will suffice159 no longer serves its purpose of allowing parties to bring their meritorious claims to court.160 Instead of fulfilling this goal, oftentimes pleadings were simply a mechanism to force another party to settle out of fear that discovery costs would make fighting a claim financially irresponsible.161 These increased costs often meant that parties

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160 Under Conley, pleading did not require parties to have specialized knowledge about technicalities and rules; rather, the pleadings were simply meant to “facilitate a proper decision on the merits.” Id. at 48. However, with the increasing costs of discovery, allowing such easy access past the pleadings meant that the pleadings may now “push cost-conscious defendants to settle even anemic cases before even reaching pretrial proceedings.” Twombly, 550 U.S. at 559. Thus, the overall concern of the Twombly Court was that Conley’s notice pleading allowed parties to abuse discovery “by substituting expenses for merits as the driving force behind litigation and settlement dynamics.”
161 B. Scott Daugherty, Comment, Uncharted Waters: Securities Class Actions in Texas After the Securities Litigation Uniform Standards Act of 1998, 31 ST. MARY’S L.J. 143, 160–61, (1999). Oftentimes then, a litigant with an otherwise meritorious claim would be faced with a choice of pursuing litigation and risking that the award would outweigh the costs of discovery, or simply settling, foregoing the costs of discovery. See Alistair Dawson, House Bill 4 and the Future of Class Action Litigation, 24 THE ADVOC. (TEX.) 60, 63 (2003) (noting that “regardless of the merits,” in class action cases, oftentimes defendants would prefer to settle than to bear the high cost of discovery); Cameron S. Matheson, Transvestite Cowboys, Thieving Brokers, and the Securities Litigation Uniform Standards Act: SLUSA’s Trap for the Unwary Plaintiff, 35 McGEORGE L. REV. 121, 126 (2004) (noting that the high costs associated with discovery may allow a party with a frivolous suit to force the other party to settle); Sue Ann Mota, Global Antitrust Enforcement: The Sherman Act Does Not Apply Without Any Direct Domestic Effect, But Discovery Assistance May Be Available to Aid a Foreign Tribunal, According to the U.S. Supreme Court, 38 J. MARSHALL L. REV. 495, 510 (2004) (explaining that when discovery is involved in litigation, oftentimes its costs “may force parties to settle”); Mathias Reinmann, Liability for Defective Products at the Beginning of the Twenty-First Century: Emergence of a Worldwide Standard?, 51 AM. J. COMP. L. 751, 817 n.351 (2003) (discussing why the high costs of discovery can work against a plaintiff as “those with small and medium-sized claims” may not be able to fully pursue these claims as the costs of discovery will often outweigh the small sum sought in the recovery); Jessica Lynn Repa, Comment, Adjudicating Beyond the Scope of Ordinary Business: Why the Inaccessibility Test in Zubulake Unduly Stifles Cost-Shifting During Electronic Discovery, 54 AM. U. L. REV. 257, 295–96 (2004) (noting that high discovery costs create incentives to settle, even for meritorious suits). Furthermore, not only are discovery costs excessive, much of it
with meritorious claims, but small amounts sought in the recovery, would have to forgo their claims, as the amount sought would not outweigh the costs of discovery.\(^{162}\) This is particularly true with electronic discovery in which “[t]he sheer volume of electronic data that may be responsive to a given document request can burden the responding party with tremendous costs.”\(^{163}\) Although the mere act of producing electronic discovery may not be significant, the data that is responsive to a particular discovery request must be screened for privileged information, which in turn can be complex and create high costs for the responding party.\(^{164}\) With the increasing costs of discovery and results from pure waste. See Thomas E. Willging, Donna Stienstra, John Shapard & Dean Miletich, An Empirical Study of Discovery and Disclosure Practice Under the 1993 Federal Rule Amendments, 39 B.C. L. Rev. 525, 551 (1998) [hereinafter Willging et al., Empirical Study] (concluding that when discovery costs are disproportionately high, much of the information obtained in discovery is ultimately irrelevant to the case).

\(^{162}\) See Christopher M. Grengs & Edward S. Adams, Contracting Around Finality: Transforming Price v. Neal from Dictate to Default, 89 MINN. L. REV. 163, 186 n.172 (2004). Instead of individually bringing a claim, high discovery costs may force the litigant to seek a class that can sue collectively, out of fear that the costs of discovery will outweigh any potential damage claims brought individually. See Barry Litt & Genie Harrison, Rights for Wrongs, L.A. Law., Dec. 2005, at 27 (noting that in order to properly compensate victims with small sums sought in recovery, the only cost-effective way may be to bring a class action).

\(^{163}\) Thomas R. Mulroy & Kristopher Stark, Article, A Suggested Rule for Electronic Discovery in Illinois Administrative Proceedings, 3 DEPAUL BUS. & COM. L.J. 1, 6–7 (2004) (describing a case where one party “spent $1.75 million to restore backup tapes to retrieve email.”). Thus, with the increase in electronic products available and the increased use of technology, comes a concomitant rise in the amount of information that is discoverable. See The Sedona Principles: Best Practices, Recommendations & Principles for Addressing Electronic Document Production 7 (Jonathan M. Redgrave et al. eds., 2005) (noting that electronic documents have now surpassed the amount of paper documents which has increased “the amount of information available for potential discovery”); Mulroy & Stark, supra, at 1 (explaining how an increase in reliance on technology brings about an increase in the amount of information that may be discoverable during litigation); Sonia Salinas, Electronic Discovery and Cost Shifting: Who Pays the Bill?, 38 LOV. L.A. L. REV. 1639, 1640 (2005) (discussing that with the increased use of technology and computers, a dramatic rise in the cost of discovery has ensued. Now simply requesting a document “may include not only the paper copy of the document, but also various versions saved on a network or hard drive”); Paul Travis, The Cost of E-Discovery, NETWORK COMPUTING (May 9, 2009), http://www.networkcomputing.com/e-discovery/the-cost-of-e-discovery.php?type=article (“Businesses and other organizations spent more than $2.7 billion on electronic data discovery last year [EDD], and spending on EDD will grow to more than $4.6 billion by 2010 . . .”).

electronic discovery, a pleading standard focused on providing factual support to the plaintiff will assist tremendously in narrowing the scope of discovery and, thus, reducing these costs.\footnote{See Robins, supra note 29, at 642 (arguing that by requiring facts to be pled, the opposing party at least has “knowledge of the basis for the claim [or defense], thus manifesting any known basis for the claim’s legitimacy”); Maralina S. Freisthler, Comment, Unfettered Discovery: Is Gonzaga University v. Doe a Constructive End to Enforcement of Medicaid Provider Reimbursement Provisions?, 71 U. CIN. L. REV. 1397, 1415–16 (2003) (“In fact, ‘in civil cases, high discovery costs and legal fees render legal assistance beyond the financial reach of ninety percent of the nation.’” (citing Joseph M. McLaughlin, An Extension of the Right of Access: The Pro Se Litigant’s Right to Notification of the Requirements of the Summary Judgment Rule, 35 FORDHAM L. REV. 1109, 1135 (1987))). Thus, the plaintiff should not be the sole bearer of a heightened pleading requirement; rather, it should be up to both pleadings to narrow the scope of discovery. See Robert D. Cooter & Daniel L. Rubinfeld, Reforming the New Discovery Rules, 84 GEO. L.J. 61, 75 (1995). Furthermore, in creating a heightened pleading requirement for both parties, access to the courts will be equalized in that both the wealthy and the average person will be more likely to be able to afford the costs of litigation. See Allegra J. Lawrence-Hardy & Nathan D. Chapman, Clarity of Chaos? Ashcroft v. Iqbal One Year Later, 4 BLOOMBERG L. REP. 39 (2010), available at http://www.sutherland.com/files/Publication/339b9dd3-0d19-4b34-8697-5e68e9dc5655/Presentation/PublicationAttachment/e31d5169-f7c7-475e-88ea-61108cfae7e5/2010%20Lawrence-Hardy%20Chapman%20Clarity%20Chaos%20Iqbal%20OneYear%20Lat.pdf (“[Courts have noted that the desire to avoid unnecessary discovery applies with equal force to defendants as well.”). John Burritt McArthur, Inter-Branch Politics and the Judicial Resistance to Federal Civil Justice Reform, 33 U.S.F. L. REV. 551, 617 (1999) (noting that most groups, even “corporate counsel,” believe that discovery costs create inequities as the wealthy can bear the costs, but the average person cannot do so).}

To be fair though, there is another side to the debate as to whether discovery costs truly are an issue today. Although there are studies that show discovery costs have gotten out of control,\footnote{See Inst. for the Advancement of the Am. Legal Sys., Interim Report on the Joint Project of the American College of Trial Lawyer’s Task Force on Discovery and the Institute for the Advancement of the American Legal System A-4 (2008), available at http://www.actl.com/AMTemplate.cfm?Section=Home&CONTENTID=3650&TEMPLATE=/CM/ContentDisplay.cfm (finding that of those attorneys surveyed, “[o]ver 75% . . . agreed that discovery costs, as a share of total litigation costs, have increased disproportionately due to the advent of e-discovery”). See also Michael P. Catina & Cindy M. Schmitt, Note, Private Securities Litigation: The Need for Reform, 13 ST. JOHN’S J. LEGAL COMMENT. 295, 303 (1998) (noting that when plaintiffs use discovery simply as a “fishing expedition[,]” its costs will often cause defendants to settle in lieu of dealing with the continuous discovery requests); Hon. Shira A. Scheindlin & Jeffrey Rakbin, Electronic Discovery in Federal Civil Litigation: Is Rule 34 Up to the Task?, 41 B.C. L. REV. 327, 367 (2000) (noting that as the amount of discoverable information continues to grow, discovery costs will do so as well).} some argue that it is only a small number of cases with high discovery costs which “generate[] the anecdotal ‘parade of horribles’ causing such concern among those seeking changes to the discovery controls and procedures.” One recent study found

\footnote{L. REV. 569, 571 (2009) (“[O]ne primary reason for the high costs of electronic discovery is simply the large volume of ESI [electronically stored information].”).}
that the median discovery costs today are on par with those of the 1990s after adjustments are made for inflation, and that the “monetary stakes in the litigation represent the primary cost driver in most civil litigation.” Thus, the argument goes, the majority of cases today actually do not have to deal with high discovery costs, and so there is no need to change the current pleading scheme or discovery rules.

Whether it is only a small number of cases in which discovery costs are high, or whether discovery costs are high across the board, the fact is that discovery costs are high, and furthermore, the Supreme Court has announced its interpretation of Rule 8, along with its intention to use the pleadings as a mechanism to control discovery. Requiring an adequate factual basis to be pled is consistent with this intention, as attorneys will now have to plead factual support for their defenses, which in turn means that the plaintiff will have a much more effective way to focus the scope of discovery, thereby reducing costs. In fact, in a recent survey conducted by the

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Emory G. Lee III & Thomas E. Willging, Defining the Problem of Cost in Federal Civil Litigation, 60 DUKE L.J. 765, 770–72 (2010). Thus, many studies have shown that for the most part, parties are fairly reasonable in their discovery requests, and do not use discovery as a means to harass the other side. See Elizabeth Thornburg, Designer Trials, 2006 J. Disp. Resol. 181, 202 n.116 (2006) (noting that the majority of research conducted actually finds that discovery costs are reasonable); Willging et al., Empirical Study, supra note 161, at 527 (concluding that high discovery costs are normally associated only with the most complex cases, and the average case is actually “conducted at costs that are proportionate to the stakes of the litigation . . . .”).

Kakalik et al., supra note 167, at 636. Thus, these proponents argue that the data relied on by others skews the truth, in that it fails to display that the large costs of discovery are truly only borne by a small few who are engaged in complex litigation. See The Honorable John P. Sullivan, Twombly and Iqbal: The Latest Retreat From Notice Pleading, 43 SUFFOLK U. L. REV. 1, 54–55 (2009).

See Sullivan, supra note 169, at 55.

See supra notes 154–156 and accompanying text. It should be fairly apparent that it is not up to the lower courts to second-guess the findings of the Supreme Court. See Gonzalez v. Sec’y for Dep’t of Corrs., 366 F.3d 1253, 1281 (11th Cir. 2004) (holding that lower federal courts “must follow” a “Supreme Court decision . . . regardless of whether [the lower court] would have arrived at a different approach.”), aff’d sub nom. Gonzalez v. Crosby, 545 U.S. 524 (2005); Levine v. Hoeffner, 864 F.2d 457, 459 (7th Cir. 1988) (holding that Supreme Court decisions must be followed by lower courts). Therefore, whichever side courts would like to fall on in the cost of discovery debate, the Supreme Court has already chosen a side, and lower courts must take the same side. Thus, the issue in this context is not whether the Supreme Court is right, but rather, the issue becomes one of how to properly implement its decision.

Fact pleading greatly assists litigants, as it requires more than a mere labeling of a claim or defense, but also the basis for that claim or defense. See Michele Taruffo, Rethinking the Standards of Proof, 51 AM. J. COMP. L. 659, 675 (2003). This prevents attorneys from quickly filing boilerplate claims or defenses, as the attorney must investigate said claims or defenses and determine if there is any factual basis to them. Id. Having conducted this initial investigation, once the claim or defense is filed, the parties have less need to search for relevant facts or evidence
Institute for the Advancement of the American Legal System, “[o]ver 64% of [respondents] indicated that fact pleading can narrow the scope of discovery.” Therefore, a federal regime, which is now truly focused on using pleadings to control the scope and costs of discovery, should adopt a pleading standard consistent with that purpose.

to support the claim or defense. Id. With such basis in hand, a pleader has the ability to properly control the scope and costs of discovery. See Gregory Gelfand & Howard B. Abrams, Putting Erie on the Right Track, 49 U. Pitt. L. Rev. 937, 977 n.128 (1998) (discussing how adopting a heightened pleading standard will narrow the scope of discovery). See also Thomas O. Main, Procedural Uniformity and the Exaggerated Role of Pleading in Three States That Have Not Adopted the Federal Rules of Civil Procedure, 46 VILL. L. REV. 311, 334 (2001) (“[T]he badges of fact pleading included[.] . . . (i) demanding ‘specificity’ or ‘particularity’ on each element of a claim or cause of action; (ii) expressing dissatisfaction with ‘conclusory’ allegations; [and] (iv) deploring the evil of ‘frivolous’ litigation . . . .”); Roger T. Brice & Penny Nathan Kahan, Discovery Issues in Employment Discrimination Cases—Including Views From the Bench, in 32ND ANNUAL INSTITUTE ON EMPLOYMENT LAW VOL. ONE 567, 613 (PLI Litig. & Admin. Practice, Course Handbook Ser. No. H-696, 2003) (arguing that in order to decrease the costs of electronic discovery, the scope of discovery must be narrowed).

See supra note 165 and accompanying text. A pleading standard that will require all affirmative defenses to be pled with an adequate factual basis is consistent with this purpose. A fact pleading standard will create more specificity in the pleadings and less opportunity for deceit. Through these benefits, discovery costs will necessarily be decreased as the parties will have some semblance of an idea as to how to properly focus discovery. See Burroughs, supra note 30, at 78 (noting that fact “pleading[] serve[s] to narrow the issues in litigation, identify baseless claims, and present each party’s position based upon the facts as known to them”); Bedora A. Sheronick, Comment, Rock, Scissors, Paper: The Federal Rule 26(a)(1) “Gamble” in Iowa, 80 IOWA L. REV. 363, 381 (1995) (discussing that a regime requiring facts to be pled from the beginning of litigation has two major benefits; namely (1) that discovery costs will be decreased; and (2) the issues for trial, as well as discovery, will be narrowed). Another incidental benefit of adopting such a fact-based standard for affirmative defenses would occur in the insurance litigation realm. See R. Nicholas Gimbel & Elizabeth W. Fox, Key Discovery Battlegrounds Regarding Coverage Under CGL Policies, in INSURANCE COVERAGE LITIGATION: RECOVERY IN THE 1990s AND BEYOND 305, 310 (PLI Litig. & Admin. Practice, Course Handbook Ser. No. H-598, 1999) (discussing how insurance companies will allege affirmative defenses simply to “act as place keepers or reservations depending on what is uncovered during the course of discovery”). For fear of waiving an affirmative defense that may end up freeing the insurance company from liability, insurance companies will plead “every conceivable affirmative defense,” even those with no factual support. See Richard D. Milone & Stephen R. Freeland, The Kitchen Sink Approach, CONN. L. TRIB., May 2008, at 1, available at http://www.kelleydrye.com/publications/articles/0371/_res/id=Files/index=0/0371.pdf. What is worse, is that insurance companies will “resist[] all efforts by the insured to obtain discovery of the factual basis for such alleged defenses.” Amanda Hairston, Insurer Ordered to Produce Facts Regarding Affirmative Defense, Drafting History and Underwriting Testimony, FARELLA BRAUN & MARTEL, LLP (Mar. 23, 2010), http://www.farelalawcoveragelaw.com/2010/03/insurer-ordered-to-produce-facts.html. Such practice has become standard, which has only resulted in higher costs for litigation, as the plaintiff has little direction in how to focus his or her discovery requests. See Ray E. Critchett, Ferreting Out Affirmative Defenses, 19 OHIO TRIAL 26, 26 (2009), available at http://www.buckeyelaw.com/team/e_ray_critchett/Affirmative_Defenses.pdf.
C. It Is Unfair to Hold a Defendant to a Plausibility Standard as a Defendant Has Only Twenty-One Days to Respond to a Complaint That May Have Been Prepared for Years

Although the Twombly and Iqbal Courts set forth a principle that should apply to an affirmative defense, applying the plausibility standard to a defendant is patently unfair. Imagine you have been in a car accident in which the other driver has suffered substantial injuries. That driver, having taken two years to gather enough information about the accident, sues you in federal district court (assume there is diversity jurisdiction) alleging that your negligence was the sole cause of the accident. That driver has you personally served with the summons and complaint. You now have twenty-one days to respond. It takes you a week to find a lawyer you can afford, and now your time to respond is only fourteen days. Another week goes by, and your attorney decides to plead some affirmative defenses in hopes that one of them will apply, or at the least, will provide a basis for discovery. Since you decline to include any factual support gathered during an initial investigation, your affirmative defense simply states: “Plaintiff’s

176 See supra notes 152–155 and accompanying text (explaining why narrowing the scope of discovery should apply to a defendant as well as to a plaintiff).
177 See Fed. R. Civ. P. 4(c). Further assume that the driver has successfully pled enough facts to lead a judge to believe her complaint is plausible on its face. See Ashcroft v. Iqbal, 129 S. Ct. 1937, 1949 (2009).
178 See Fed. R. Civ. P. 12(a)(1)(A)(i) (noting that in the absence of waiver of summons, the defendant’s time to answer is not extended beyond twenty-one days).
179 Rule 6 defines the standards for computing time. Fed. R. Civ. P. 6. Under that rule:

When the [time to respond] is stated in days or a longer unit of time: (A) exclude the day of the event that triggers the period; (B) count every day, including intermediate Saturdays, Sundays, and legal holidays; and (C) include the last day of the period, but if the last day is a Saturday, Sunday, or legal holiday, the period continues to run until the end of the next day that is not a Saturday, Sunday, or legal holiday.

Id. Thus, for purposes of the above hypothetical, assume you were served on January 7, 2011. The deadline for your response would be January 28, 2011. Fed. R. Civ. P. 12(a)(1)(A)(i).
181 It is common practice to plead all affirmative defenses which may apply in hopes of later ascertaining relevant evidence to rid the defendant of liability. See Gimbel & Fox, supra note 175, at 309–10 (1999) (describing how insurance companies plead any and all defenses in the hopes that one will apply). See also Goodyear Tire & Rubber Co. v. Chiles Power Supply, Inc., 332 F.3d 976, 979 (6th Cir. 2003) (noting that discovery under the Rules “provides that [p]arties may obtain discovery regarding any matter, not privileged, that is relevant to the claim or defense of any party . . . .” (emphasis omitted) (quoting Fed. R. Civ. P. 26(b)(1)))
negligence was the sole cause of the accident.”182 With two days
before the twenty-one day timeline runs up, your attorney files
your answer. Another two weeks go by, and the plaintiff files a
motion to strike your affirmative defense.183 What result?

Under this Comment’s proposed standard, this affirmative
defense would most certainly fail184 as it lacks any factual basis
to support it, and instead, pleads only a conclusory allegation.185
By neglecting to provide the plaintiff with the facts used to
support the defense, the plaintiff is stuck pondering the various
ways the defendant may believe the plaintiff has been
contributorily negligent.186 One could hypothesize a multitude
of ways this could be true: (1) Plaintiff may have been driving while
intoxicated;187 (2) Plaintiff may have been speeding;188 (3)
Plaintiff may have failed to drive on the right side of the road;189
or (4) Plaintiff may have failed to yield the right of way.190 The
list could go on, but one can understand the difficulties a plaintiff

182 Rule 8(c)(1) lists a number of affirmative defenses including contributory
184 However, it would very likely survive a pre-Twombly motion to strike, because
merely listing the affirmative defense correctly was often acceptable. See, e.g., Home Ins.
Co. v. Matthews, 998 P.2d 305, 309 (5th Cir. 1993) (“A plea that simply states that
complainant was guilty of contributory negligence...is sufficient.”).
185 A number of district courts held that conclusory allegations in an affirmative
defense are no longer acceptable. See, e.g., Palmer v. Oakland Farms, Inc.,
No. 5:10cv00029, 2010 WL 2605179, at *6 (W.D. Va. June 24, 2010) (striking the
defendant’s affirmative defenses for being conclusory); Barnes v. AT&T Pension Benefit
affirmative defenses for consisting largely of “conclusory statements”); Burns v. Dodeka,
affirmative defenses that “are wholly conclusory and fail to plead any facts that
demonstrate the plausibility of such defenses as required by Bell Atlantic Corp. v.
Twombly...”). Regardless of the standard ultimately adopted by courts, this appears to
be a fairly common minimum standard and is something in which litigators should be
aware. See David N. Anthony & Timothy J. St. George, “Plausibility” Pleading After
Twombly and Iqbal, 21 THE PRAC. LITIGATOR 9, 13 (2010), available at
http://www.troutmansanders.com/files/Uploads/Documents/ihqbal2.pdf (“Since Iqbal[,]...certain courts are displaying an increasing tendency to scrutinize such ‘bare-boned’
averments on the basis that such pleading does not comport with the standards
articulated in Twombly and Iqbal.”).
(indicating that “the purpose of pleading requirements is to provide...some plausible,
factual basis for the assertion and not simply a suggestion of possibility that it may apply
to the case”).
(June 25, 2010).
188 See Rodkey v. City of Escondido, 67 P.2d 1053, 1055 (Cal. 1937) (holding driver
diligent for driving over storm drain while speeding).
189 See People v. Thompson, 93 Cal. Rptr. 2d 803, 808 (2000) (stating that “failure to
drive on right side of road” can constitute negligence), modified, No. H017519, 2000 Cal.
190 See Jeld-Wen, Inc. v. Superior Court, 32 Cal. Rptr. 3d 351, 356 (2005) (alleging
negligence for “failure to yield right of way”).
would face if mere notice were required. On the other hand, if \textit{Twombly}'s and \textit{Iqbal}'s plausibility standard were applied, the defendant would have to plead enough facts to raise the affirmative defense to a level of plausibility. This may require an entire host of responses, such as alleging that the plaintiff had been drinking sometime in the day or alleging that the plaintiff had been drinking thirty minutes prior to the accident, for a specific length of time, which was the sole cause of the accident. However, by only requiring “the defendant to plead an adequate factual basis for [the] affirmative defense[,]” the

\begin{footnote}{191}{See John S. Beckerman, \textit{Confronting Civil Discovery's Fatal Flaws}, 84 MINN. L. REV. 505, 517 (2000) (“[T]he information-gathering and issue-defining functions that discovery must perform in a notice-pleading regime require broad and often copious discovery that generates disputes that require judicial intervention to resolve. Depending on one’s definition, this voluminous discovery may also lend itself to ‘abuse.’”). One main concern about notice pleading, then, is that it largely left the other pleader in the dark. Deprived of any knowledge as to what basis there was for a claim or defense, the other party, quite understandably so, sought as much information as possible in discovery in order to protect him or herself. See Mark E. Chopko, \textit{Continuing the Lord’s Work and Healing His People: A Reply to Professors Lupu and Tuttle}, 2004 BYU L. REV. 1897, 1916 n.113 (2004) (discussing that under a system of notice pleading, “the parties might guess what discovery might bring”); Emeka Duruiob, \textit{The Economic Cost of Alien Tort Litigation: A Response to Awakening Monster: The Alien Tort Statute of 1789}, 14 MINN. J. GLOBAL TRADE 1, 33 (2004) (“[T]he notice pleading system and discovery rules in the United States are so liberal that they allow plaintiffs to bring suits based on minimal facts with ample room to flesh them out later.”); Marcus, \textit{supra} note 23, at 492 (noting that notice pleading allows parties access to broad discovery which can easily lend itself to abuse); Stephen N. Subrin & Thomas O. Main, \textit{The Integration of Law and Fact in an Uncharted Parallel Procedural Universe}, 79 NOTRE DAME L. REV. 1981, 2001 (2004) (arguing that under a system of notice pleading, it is difficult for the opposing party to understand the factual basis for the other’s claim or defense).}

\begin{footnote}{192}{See, e.g., Watts v. Fla. Int'l Univ., 495 F.3d 1289, 1296 (11th Cir. 2007) (adopter the plausibility standard in civil rights actions, requiring the complainant to “allege[] enough facts to suggest, raise a reasonable expectation of, and render plausible the fact that he sincerely held the religious belief that got him fired”); Allison Sirica, \textit{Case Comment, The New Federal Pleading Standard: Ashcroft v. Iqbal}, 129 S. Ct. 1937 (2009), 62 FLA. L. REV. 547, 554 (2010) (noting the difficulty in determining how many facts are required to be pled in order to render a claim plausible). As stated, this Comment does not criticize the plausibility standard as applied to a complaint. The purpose of this paragraph is only to display that whatever the particular requirements of the plausibility standard actually are, they are necessarily higher than that of a standard which only requires facts to be pled. See Michael Eaton, \textit{The Key to the Courthouse Door: The Effect of Ashcroft v. Iqbal and the Heightened Pleading Standard}, 51 SANTA CLARA L. REV. 299, 314 (2011) (noting that “after \textit{Iqbal} the pleading standard is notably higher...”). Compare \textit{Iqbal}, 129 S. Ct. at 1949 (holding that “a complaint must contain sufficient factual matter, accepted as true, to state a claim for relief that is plausible on its face” (emphasis added) (quoting Bell Atl. Corp. v. Twombly, 550 U.S. 544, 570 (2007))), with Equal Emp't Opportunity Comm'n v. Hibbing Taconite Co., 266 F.R.D. 260, 268 (D. Minn. 2009) (holding that the defendant only needs “to plead an adequate factual basis for affirmative defenses, where the basis is not apparent by the defense’s bare assertion”).}

\begin{footnote}{193}{See \textit{supra}.}

\begin{footnote}{194}{\textit{EEOC}, 266 F.R.D. at 268.}

\begin{footnote}{195}{\textit{Compare Iqbal}, 129 S. Ct. at 1949 (holding that “a complaint must contain sufficient factual matter, accepted as true, to ‘state a claim for relief that is plausible on its face’” (emphasis added) (quoting Bell Atl. Corp. v. Twombly, 550 U.S. 544, 570 (2007))), with Equal Emp't Opportunity Comm'n v. Hibbing Taconite Co., 266 F.R.D. 260, 268 (D. Minn. 2009) (holding that the defendant only needs “to plead an adequate factual basis for affirmative defenses, where the basis is not apparent by the defense’s bare assertion”). For a discussion on the uncertainty a party faces when held to a plausibility standard, see Pamela Atkins, Twombly, \textit{Iqbal Introduce More Subjectivity to Rulings on Dismissal Motions, Judge Says}, 78 U.S.L. WR. 2667, 2667 (2010) (“[T]he new approach calls for ‘tremendous subjectivity’ on the part of a judge reviewing motions to dismiss.”).}
defendant is given much greater control over whether or not that defense will survive a motion to strike.\footnote{195}

The point is that a plaintiff may have years to decide when to file a complaint, while a defendant only has twenty-one days to respond.\footnote{196} Responding to a complaint requires a host of actions by the defendant within this mere twenty-one day timeline, such as hiring an attorney, researching the complaint and applicable defenses, and communicating with the attorney regarding the appropriate strategy for the case.\footnote{197} Given the time disparities, it is not unreasonable to require more factual development of a plaintiff at the pleading stage.\footnote{198} Worse yet, if

\footnote{195 The defendant has greater control because the judge is not required to assess the ability of the defendant to prove what is being pled. Rather, the defendant simply has to provide the factual basis for the defense so that the plaintiff can efficiently narrow the scope of his or her discovery. Thus, the defendant’s attorney would know that he or she cannot merely assert any boilerplate defense, but would have to first uncover some factual basis for the defense. See Hayne v. Green Ford Sales, Inc., 263 F.R.D. 647, 650 (D. Kan. 2009) (asserting the importance of facts in affirmative defenses rather than mere conjectures that may apply); HCRI TRS Acquirer, LLC v. Iwer, 708 F. Supp. 2d 687, 691 (N.D. Ohio 2010) (noting that boilerplate affirmative defenses can negatively impact litigation costs); Gambol, supra note 52, at 2198 (footnote omitted) (stating that providing a factual basis allows the adverse party to tailor discovery). Furthermore, by pleading an affirmative defense, the defendant is not somehow making discovery available to him or herself. It is the complaint that “unlock[s] the doors of discovery.” \textit{Iqbal}, 129 S. Ct. at 1950. \textit{See also} FTC v. Hope Now Modifications, L.L.C., No. 09-1204, 2011 WL 883202, at *3 (D. N.J. Mar. 10, 2011). Thus, “should the [p]laintiff need to request the factual basis of an asserted affirmative defense . . . \textit{Twombly} and \textit{Iqbal} do not counsel otherwise.” \textit{Id.} }

\footnote{196 See, e.g., Allstar Mayflower, L.L.C. v. United States, 93 Fed. Cl. 169, 171 (2010) (applying the three year statute of limitations under the Interstate Commerce Act); Simpson v. Merchs. & Planters Bank, 441 F.3d 572, 579 (8th Cir. 2006) (holding that the statute of limitations under the Equal Pay Act is three years). }

\footnote{197 \textit{Fed. R. Civ. P. 12(a)(1)(A)(i).} }


\footnote{199 See Holdbrook v. SAIA Motor Freight Line, L.L.C., No. 09-cv-02870-LTB-BNB, 2010 WL 865380, at *2 (D. Colo. Mar. 8, 2010). It has been argued that in \textit{Twombly} and \textit{Iqbal} the Court was establishing “a gatekeeping test” for parties seeking to bring suit into court. Clermont, supra note 143, at 1360. As defendants do not initiate litigation, the argument goes that this test should not apply to them. \textit{Id.} However, this Comment does not go as far to conclude that no change should be made to the pleading standard for affirmative defenses. This Comment does, though, note the inherent unfairness in requiring a defendant to file a response to a complaint, which may have been researched for years, within twenty-one days. See R. David Donoghue, \textit{The Uneven Application of Twombly in Patent Cases: An Argument for Leveling the Playing Field}, 8 J. MARSHALL REV. INTELL. PROP. L. 1, 12 (2008) (arguing that in patent cases, giving defendants either twenty days or sixty days to file a plausible defense is ”unrealistic . . . [because] [d]uring those three to eight weeks, a defendant must digest the complaint, hire counsel, analyze the patent and the alleged infringement, and at least sketch out a ‘plausible’ set of noninfringement and invalidity defenses, all while continuing to meet the obligations of defendant’s business”). Thus, a balance needs to be struck, and it is this Comment’s ultimate proposal that a pleading standard requiring a factual basis to be pled is this balance. Furthermore, a proper application of this Comment’s proposed rule would examine the affirmative defense in conjunction with the complaint. This would best provide the reviewing court and the parties with the proper and most complete factual}
the defendant fails to plead an affirmative defense, that defense is generally waived and likely to be permanently excluded from the case.\textsuperscript{200} “[A]lthough the court, in its discretion, may give the defendant leave to amend” its insufficiently pled affirmative defense,\textsuperscript{201} this is by no means a guarantee, and a defendant should attempt to plead as many applicable defenses as possible at the pleading stage.\textsuperscript{202}

Of course a defendant could simply plead any and all affirmative defenses in the mere hope that one will survive a motion to strike.\textsuperscript{203} This would be acceptable, but for Rule 11.\textsuperscript{204} Rule 11, among other things, requires any pleading filed by an attorney to “have evidentiary support or . . . [to be] likely [to] have evidentiary support after a reasonable opportunity for further investigation or discovery . . . .”\textsuperscript{205} Thus, a defendant who asserts an affirmative defense without reasonably believing the basis for the defendant’s affirmative defenses. See Baum v. Faith Techs., Inc., No. 10-CV-0144-CVE-TLW, 2010 WL 2365451, at *3 (N.D. Okla. June 9, 2010) (noting that when taken together, a complaint and an answer provide a plaintiff with sufficient notice of the affirmative defense).

\textsuperscript{200} Harris v. Sec’y, U.S. Dep’t of Veterans Affairs, 136 F.3d 339, 343 (D. Cir. 1997) (quoting Dole v. Williams Enter., Inc., 876 F.2d 186, 189 (D.C. Cir. 1989)).

\textsuperscript{201} See Jack H. Friedenthal, Mary Kay Kane & Arthur R. Miller, Civil Procedure 297–98 (3d ed. 1999).

\textsuperscript{202} See James et al., supra note 39, at 253. Thus, to be safe, it is generally advised, or at least was advised under Conley’s standard, that a defendant should plead any and all defenses that may apply in order to avoid missing out on a defense that may ultimately relieve the defendant of liability. See Robinson v. Johnson, 313 F.3d 128, 134 (9th Cir. 2002) (“Parties are generally required to assert affirmative defenses early in litigation, so they may be ruled upon, prejudice may be avoided, and judicial resources may be conserved.”); Campania Mgmt. Co. v. Rooks, Pitts & Poust, 290 F.3d 843, 852 (7th. Cir. 2002) (citing Grain Traders Inc. v. Citibank, N.A., 160 F.3d 97, 105 (2d Cir. 1998)); Ins. Co. of N. Am. v. Moore, 783 F.2d 1326, 1327–28 (9th Cir. 1986) (holding that a party cannot assert an affirmative defense after failing to do so in the answer); SHAM Corp. v. Shimano, Inc., 27 F. App’x 626, 629 (9th. Cir. 2002) (citing NW. Acceptance Corp. v. Lynnwood Equip., Inc., 841 F.2d 918, 924 (9th Cir. 1988) (“Where a defendant fails to raise the defense in a pretrial order or prior to trial, the defense is waived.”)); Wilkes Assocs. v. Hollander Indus. Corp., 144 F. Supp. 2d 944, 951 (S.D. Ohio 2001) (stating that Rule 8(c) requires a defendant to plead all applicable affirmative defenses in the answer); Friedenthal et al., supra note 201, at 299 (“A careful pleader necessarily will set forth every conceivable fact that she might wish to prove as one never can be certain what a court will hold to be a ‘surprise.’”).

\textsuperscript{203} See, e.g., Anthony & St. George, supra note 185, at 13 (noting that it is a “common litigation strategy” for attorneys to plead as many affirmative defenses as possible at the pleading stage); Richard G. Morgan & William N.G. Barron IV, Evolving with Affirmative Defense Pleading Standard, Law360 (2018), http://www.bowmanandbrooke.com/files/News/c5d848bb-c5e-42de-985e-3f5995ed161f/Presentation/NewsAttachment/6573eb13-8556-46af-9071-91d09ed6477/Law360%20-%200310.pdf (explaining that the reason behind pleading all possible affirmative defenses is to avoid having those defenses waived and excluded from the case).

\textsuperscript{204} See generally Fed. R. Civ. P. 11 (detailing how pleadings, motions, and other papers should be signed, the representations an attorney makes to the court and the use of sanctions).

\textsuperscript{205} Fed. R. Civ. P. 11(b)(3).
defense to be “factually and legally justified” will likely subject him or herself to Rule 11 sanctions.206

Taken together with Twombly and Iqbal, a defendant's attorney is torn between risking the filing of an affirmative defense that reasonably is not, or could not be, plausible and thus subject to Rule 11 sanctions, and filing an affirmative defense that may surpass Rule 11's floor, but still fails to rise to a level of plausibility and, thus, is stricken permanently from the case.207

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206 Kaplan v. DaimlerChrysler, A.G., 331 F.3d 1251, 1255 (11th Cir. 2003). See FTC v. Hope Now Modifications, L.L.C., No. 09-1204 (JBS/JS), 2011 WL 883202, at *4 (D. N.J. Mar. 10, 2011) (noting that “although Twombly and Iqbal do not require specificity in all circumstances, a defendant’s counsel who pleads a frivolous defense will likely be subject to Rule 11 sanctions). Furthermore, a defendant’s “subjective good faith” belief is not enough and there must be actual support for the affirmative defense. Eastway Constr. Corp. v. City of New York, 762 F.2d 243, 253 (2d Cir. 1985). For a list of possible sanctions for violating Rule 11, see Fed. R. Civ. P. 11 advisory committee’s note (noting that possible sanctions for violating Rule 11 include “striking the offending paper; issuing an admonition, reprimand, or censure; requiring participation in seminars or other educational programs; ordering a fine payable to the court; [or] referring the matter to disciplinary authorities . . .”). For cases where defendant’s attorneys were sanctioned for filing affirmative defenses in violation of Rule 11, see Aetna Cas. & Sur. Co. v. Kellogg, 856 F. Supp. 25, 33 (D. N.H. 1994) (awarding sanctions because defendant’s counsel failed “to make a reasonable inquiry” into the legal basis for the affirmative defense pled); Kramer, Levin, Nessen, Kamin & Frankel v. Aronoff, 638 F. Supp. 714, 725–26 (S.D.N.Y. 1986) (awarding attorneys’ fees for attorney filing a frivolous affirmative defense which caused plaintiff’s counsel to expend funds in the investigation of the defense).

207 See D. Jeffrey Campbell & Jonathan R. Kuhlman, Civil Justice Reform Act of 1990: An Experiment Gone Awry, 60 DEF. COUNS. J. 17, 28 (1993) (explaining that Rule 11 can harm a defendant because the defendant likely does not have much factual support for an affirmative defense prior to discovery and thus when it does come time for discovery and the defendant is unable to produce evidence in support of the defense, the plaintiff may move for Rule 11 sanctions). For a discussion that Rule 11 should be used in lieu of a plausibility standard to deal with insufficient pleadings, see Lonny S. Hoffman, Burn Up the Chaff with Unquenchable Fire: What Two Doctrinal Intersections Can Teach Us About Judicial Power Over Pleadings, 88 B.U. L. REV. 1217, 1254 (2008) (“[A]n allegation that is implausible may also be said to violate Rule 11(b)(3) . . . .”); Mize, supra note 51, at 1267 (arguing that Rule 11 can be used to “combat the frivolous and bothersome claims that were a partial reason for the Court raising the [pleading] standard”); A. Benjamin Spencer, Plausibility Pleading, 49 B.C. L. REV. 431, 485–86 (2008) (“[T]he Twombly Court’s statement that the plausibility standard would make sure that there is a ‘reasonably founded hope that the [discovery] process will reveal relevant evidence’ in support of the claim steps directly on the toes of Rule 11 because under that rule counsel already are certifying that asserted claims and allegations are warranted by the evidence . . . .” (footnote omitted) (quoting Bell Atl. Corp. v. Twombly, 550 U.S. 544, 559 (2007))). The above-cited articles, admittedly, make a good argument that Rule 11 can be used as a screening tool for pleadings. See Koly v. Enney, 269 F. App’x 861, 864 (11th Cir. 2008) (noting the deterrence function of Rule 11). However, this fails to take into account that Rule 11 is only as good as the pleading standard that it enforces. Thus, if an affirmative defense is held to Conley’s notice standard, the Rule 11 deterrent function will necessarily be lesser than if affirmative defenses were held to Twombly’s and Iqbal’s plausibility standard. See Samuels v. Wilder, 906 F.2d 272, 274 (7th Cir. 1990) (holding that Rule 11 does not change the notice pleading standards of Conley); Donaldson v. Clark, 819 F.2d 1551, 1561 (11th Cir. 1987) (holding that Rule 11 under a notice pleading regime does not require the parties to allege the facts on which the case is based). Therefore, Rule 11 may have some deterrent effect, but it cannot be treated as a substitute for a heightened pleading standard, but rather should be seen as a mechanism
These concerns are not equally present in a plaintiff who has been given years to decide when to file a complaint. Therefore, as a plausibility standard unduly burdens a defendant, a lower bar for a defendant is necessarily required to truly balance this inequity.

D. It Is Unfair to the Plaintiff to Hold the Defendant to a Notice Standard Because the Plaintiff Still Needs to Conduct Discovery

A valid critique of this Comment’s proposed rule is that if fairness to a defendant truly is the concern, then notice pleading should be adopted as its requirements can be met by merely labeling the affirmative defense correctly. However, notice pleading allows the most liberal scope to be applied at the discovery stage. A pleading system known for its ease of access to discovery would allow a defendant adversely to affect a plaintiff by pleading numerous affirmative defenses with “no reasonably founded hope that the [discovery] process will reveal relevant evidence” to support the defense. In the Institute for
the Advancement of the American Legal System survey, “[n]early half the respondents said that notice pleading has become a problem because extensive discovery is required to narrow the claims and defenses . . . .” Thus, such a notice standard would shift the scales dramatically and cause the plaintiff to be placed at a disparate disadvantage. The plaintiff still needs to conduct discovery, and as “the desire to avoid unnecessary discovery applies with equal force” to plaintiffs and defendants, the defendant should not simply be allowed to throw any and all defenses “up against a wall to see what sticks.” This mindset is inconsistent with the Court’s current direction. Thus, this Comment proposes a standard that will have both plaintiff and defendant providing factual support for their complaints and affirmative defenses, respectively.

CONCLUSION

Admittedly, proposing a standard that permits a defendant to plead less than a plaintiff is at odds with certain notions of fairness and equality. However, there are two definitive rules that can be taken away from the Twombly and Iqbal decisions: (1) only complaints are held to a plausibility standard; and (2)
notice pleading is inadequate to deal with the costs of discovery today.\textsuperscript{219} However, requiring a defendant to uncover enough facts in twenty-one days to plead a plausible affirmative defense is unfair as compared to a plaintiff who may have years in which to plead a plausible claim for relief.\textsuperscript{220} Furthermore, holding an affirmative defense to a plausibility standard forces an attorney to make a decision between risking Rule 11 sanctions and risking the possibility that a desirable affirmative defense will not be plausible, and thus will be unavailable for trial and discovery.\textsuperscript{221} As a defendant may lose the right to plead that defense if it is stricken or not pled from the outset,\textsuperscript{222} forcing such a requirement upon a defendant will only serve to discourage a true “decision on the merits.”\textsuperscript{223} It is also true though that affirmative defenses cannot be held to a notice standard, as that will inevitably conflict with the Court’s intention to use the pleadings to combat discovery costs and that would be grossly unfair to a plaintiff.\textsuperscript{224} Therefore, in order to remain fair to the plaintiff and the defendant,\textsuperscript{225} to combat discovery costs,\textsuperscript{226} and to remain consistent with \textit{Twombly} and \textit{Iqbal},\textsuperscript{227} the only proper standard is to require “the defendant to plead an adequate factual basis for [his or her] affirmative defenses.”\textsuperscript{228}

\begin{footnotes}
\footnoteref{219}{See supra Part IV(D) (describing notice pleading’s inability to combat discovery costs).}
\footnoteref{220}{See supra notes 192–202 and accompanying text (noting that such a high standard is unfair to a defendant).}
\footnoteref{221}{See supra notes 203–208 and accompanying text (discussing how Rule 11 and waiver of affirmative defenses place defendant’s attorneys in difficult and unfair positions).}
\footnoteref{222}{See supra notes 200–202 and accompanying text (noting that defendants should include any and all defenses in the responsive pleading because they may otherwise not be allowed in as a defense).}
\footnoteref{223}{See supra notes 156–162 and accompanying text (noting the effects of adopting notice pleading for defendants).}
\footnoteref{224}{See supra Part IV(C) (noting the unfairness in applying \textit{Twombly} and \textit{Iqbal} to affirmative defenses).}
\footnoteref{225}{See supra Part IV(B) (explaining that the purpose and effect of pleading facts is to provide the opposing party with enough information to efficiently narrow the scope of discovery).}
\footnoteref{226}{See supra Part IV(B) (noting that a fact pleading standard is most in line with the policies behind the \textit{Twombly} and \textit{Iqbal} decisions).}
\footnoteref{227}{See supra Part IV(B) (noting that a fact pleading standard is most in line with the policies behind the \textit{Twombly} and \textit{Iqbal} decisions).}
\footnoteref{228}{Equal Emp’t Opportunity Comm’n v. Hibbing Taconite Co., 266 F.R.D. 260, 268 (D. Minn. 2009).}
\end{footnotes}