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# A Multi-Year Longitudinal Study Exploring the Impact of the COVID-19 Pandemic on Students' Familiarity and Perceptions of Active Learning

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# A Multi-Year Longitudinal Study Exploring the Impact of the COVID-19 Pandemic on Students' Familiarity and Perceptions of Active Learning

# Comments

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1 2	A multi-year longitudinal study exploring the impact of the COVID-19 pandemic on students' familiarity and perceptions of active learning
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# 35 Abstract

The COVID-19 pandemic caused nearly ubiquitous emergency remote teaching in both secondary and post-secondary education. While there has been a plethora of work examining how instructors adjusted classes to incorporate active learning during emergency remote teaching, there has only been minimal work examining how such emergency remote teaching may have influenced students' perceptions of active learning. Here, we conduct a longitudinal multi-cohort study at one institution across nine semesters before, during, and after emergency remote teaching due to the pandemic to explore how college students' familiarity and perceptions of active learning have shifted over time because of the pandemic. Our results reveal decreases in familiarity with active learning during emergency remote teaching, with familiarity remaining lower than pre-COVID even after the end of emergency remote teaching. In addition, our results indicate shifts in students' perceptions of active learning, leading to potentially higher student resistance to active learning following emergency remote teaching. We conclude by discussing implications for instructors to better support active learning and promote engagement in active learning classes following the end of emergency remote teaching. Keywords: active learning, emergency remote teaching, student familiarity with active learning 

#### 71 Introduction

72 A large body of literature across science, technology, engineering, and math (STEM) disciplines has 73 demonstrated that active learning (a broad category of instructional activities that usually involve students 74 being actively engaged in their own learning during a class as opposed to more traditional lecture-based 75 classes) can increase student learning, decrease differences in performance across different 76 demographics of students, and promote student persistence and retention (Armbruster, Patel, Johnson, & 77 Weiss, 2009; Chi & Wylie, 2014; Freeman et al., 2014; Haak, HilleRisLambers, Pitre, & Freeman, 2011; 78 Kvam, 2000; Theobald et al., 2020). Despite these benefits, many instructors and faculty perceive 79 multiple barriers for implementing active learning techniques in their STEM courses, and the frequency of 80 instructors using active learning remains low (Hora & Ferrare, 2013; Michael, 2007; Miller & Metz, 2014; 81 Stains et al., 2018). These barriers include the fear of student resistance to active learning, with many 82 faculty reporting concerns that students will not engage in active learning or will not see the utility of such 83 practices in the classroom, leading to potentially lower attendance, enrollment, or student evaluations of 84 teaching (Henderson, Khan, & Dancy, 2018; Michael, 2007; Nguyen et al., 2021; Owens, Sadler, Barlow,

85 & Smith-Walters, 2020).

86 Given these concerns, there have been multiple prior attempts at characterizing student perceptions of 87 active learning and identifying potential sources of resistance to active learning (Patrick, 2020). This work 88 has led to at times contrasting results. For instance, a survey of students in physiology courses identified 89 that nearly all students (91%) indicated that they "have become accustomed to lecture-based methods", 90 with nearly half agreeing that active learning is not a "productive use of class time" (Miller & Metz, 2014), with other work also identifying that students perceive lecture-based instruction as more effective than 91 active learning (Tsang & Harris, 2016). Similarly, many students in an introductory biology course stated 92 93 that they preferred having more lecture instead of active learning, and cited naïve conceptions regarding 94 the purpose and benefits of active learning (Owens et al., 2020). However, despite these views, other 95 studies across disciplines have found at times contradictory results. For instance, Lumpkin, Achen, & 96 Dodd (2015) identified that students largely saw value in active learning after participating in active 97 learning activities, a finding echoed by Machemer & Crawford (2007), and Gonsar, Patrick, & Cotner 98 (2021) found that most students in STEM courses across three universities wanted more active learning 99 in their STEM courses. Other work has revealed that students reported increased perceptions of learning after participating in active learning as compared to traditional lecture-based instruction (Kressler & 100 Kressler, 2020; Smith & Cardaciotto, 2011) and that faculty may often overestimate the level of student 101 102 resistance to active learning (M. E. Andrews et al., 2020).

These at-times contrasting results may be due to differences in how active learning is implemented in the 103 104 classroom, as well as variation in student backgrounds and identities that influence their perceptions of 105 active learning. For instance, there remains widespread variation in how instructors conceptualize active 106 learning, which can lead to a diversity of approaches all classified under this term (T. C. Andrews, 107 Auerbach, & Grant, 2019; T. M. Andrews, Leonard, Colgrove, & Kalinowski, 2011; M. M. Cooper, 2016; 108 Driessen, Knight, Smith, & Ballen, 2020; Prince, 2004). Likewise, different students with different 109 identities may experience active learning differently. For example, students with different levels of social anxiety and self-efficacy may perceive and experience active learning differently (England, Brigati, 110 111 Schussler, & Chen, 2019; Hood et al., 2021), and students with 'hidden identities' (i.e., any descriptors of 112 a student's identity that cannot be seen from a student's outward appearances) may also have more 113 negative experiences with active learning (Henning, Ballen, Molina, & Cotner, 2019).

114 This body of literature examining student perceptions of active learning, however, is limited in several

ways. First, nearly all the existing work has examined student perceptions of active learning *after* a

specific module or course that implemented active learning, measuring student experiences in those environments. Students' perceptions of active learning in those studies are thus likely heavily influenced

environments. Students' perceptions of active learning in those studies are thus likely heavily influence
 by specific course, instructor, and group attributes in the class. In contrast, very few studies have

119 investigated student perceptions of and familiarity with active learning *prior* to participating in an active

120 learning course. Examining student familiarity and perceptions of active learning prior to courses that rely 121 heavily on active learning is important since such factors can influence how much a student chooses to engage with active learning and impact potential resistance to active learning (K. M. Cooper, Ashley, & 122 123 Brownell, 2017). We describe how these perceptions may impact student engagement with active 124 learning more in our theoretical framework section below. Second, most studies have relied on examining 125 student perceptions of active learning at one timepoint, with very little work done examining how student 126 perceptions of active learning have changed over time in different cohorts of students. This lack of 127 longitudinal work is particularly striking in the context of the COVID-19 pandemic, which led to sudden 128 and nearly universal emergency remote teaching (ERT) across institutions in the United States and 129 worldwide in spring 2020 and widespread reports of lower student engagement (Nichols, Xia, Parco, & 130 Bailey, 2022; Shim & Lee, 2020; Walsh, Arango-Caro, Wester, & Callis-Duehl, 2021; Wester, Walsh, 131 Arango-Caro, & Callis-Duehl, 2021). Some classes adopted synchronous ERT, while other instructors 132 utilized asynchronous ERT, leading to potentially different experiences across classes (Fabriz, Mendzheritskaya, & Stehle, 2021; Reyes-Rojas & Sánchez, 2022). Similarly, ERT led to changes in how 133 134 many instructors implemented office hours and assessments in their courses(Hsu, Rowland-Goldsmith, & 135 Schwartz, 2022; Panadero, Fraile, Pinedo, Rodríguez-Hernández, & Díez, 2022). While there is work exploring how instructors adapted their active learning during the pandemic (e.g., Baldock, Fernandez, 136 137 Franco, Provencher, & McCoy, 2021; Morrison, Naro-Maciel, & Bonney, 2021; Singhal, 2020) as well as 138 potential changes in student learning and student affect (Bawa, 2020; Carrasco-Hernández, Lozano-139 Reina, Lucas-Pérez, Madrid-Garre, & Sánchez-Marín, 2023; Iglesias-Pradas, Hernández-García, 140 Chaparro-Peláez, & Prieto, 2021; Panadero et al., 2022; Wilhelm, Mattingly, & Gonzalez, 2022), we are 141 not aware of any work that has directly examined how the pandemic and emergency remote teaching has 142 influenced student perceptions of active learning. We also note that the majority of work examining active learning (and indeed, the majority of work in STEM education research) has focused upon large, 143 144 research-intensive universities, with relatively few studies examining student perceptions of active 145 learning in the context of smaller, comprehensive institutions that are primarily undergraduate within

146 STEM (Lo et al., 2019).

147 In addition, we also highlight how there is a lack of work examining if students' STEM identity - or the 148 extent a student sees themselves as a member of the STEM community or as a scientist (Le, Doughty, 149 Thompson, & Hartley, 2019; Trujillo & Tanner, 2014) - can influence their perception of active learning, or 150 if students' perceptions of active learning can impact their STEM identity. Past work has suggested that 151 active learning can impact STEM identity, with most studies indicating positive impact (Ballen, Wieman, 152 Salehi, Searle, & Zamudio, 2017; Dou, Brewe, Potvin, Zwolak, & Hazari, 2018; Major & Kirn, 2017; 153 Olivera, 2022). Other studies have also found that engaging in authentic scientific practices, a key 154 component of many types of active learning in STEM, is also positively correlated with STEM identity 155 (Singer, Montgomery, & Schmoll, 2020). Similarly, another study situated in the context of undergraduate 156 introductory biology courses identified that students with higher STEM identity perceive classroom climate 157 more positively in an active learning class than those with lower STEM identity, suggesting that STEM 158 identity can influence students' perceptions of instructional practices (Starr et al., 2020). In sum, this body 159 of literature suggests that there are possible correlations between STEM identity and how a student 160 perceives active learning, though this correlation remains unclear given the lack of empirically grounded studies examining this relationship. 161

Here, we draw upon a longitudinal, multi-cohort set of surveys deployed to students prior to taking an
 introductory molecular genetics course across nine semesters that span from before to after the onset of
 the COVID-19 pandemic. These data allow us to investigate several research questions:

- How familiar with active learning are students in an introductory biology course focusing on molecular genetics at the start of the course?
- 167 2. How do these students perceive active learning?
- 3. What is the impact of the COVID pandemic on students' familiarity and perceptions of active
   learning? Are there any longitudinal trends in students' familiarity and perceptions?

#### 170 4. Are there correlations between students' STEM identity and their perceptions of active learning?

#### 171 Theoretical framework

172 We situate our study using the lens of expectancy value theory (EVT). EVT is a commonly applied 173 framework in the learning sciences that states that students who value a given task and also expect to do well on the task are more likely to cognitively engage with the given task (J. Eccles, 1983; J. S. Eccles & 174 175 Wigfield, 2002; Wigfield & Eccles, 2000). This framework has previously been applied to explore students' 176 engagement with and perceptions of active learning across contexts. For instance, Cavanagh et al. 177 (2016) apply EVT as a framework to define student "buy-in" of active learning, exploring how students' 178 exposure and familiarity to active learning shape their expectations and motivation to engage with active 179 learning. Similarly, Wiggins et al. (2017) rely on focus groups and surveys to identify that students' perceived value of active learning activities influences their personal effort in these activities, utilizing EVT 180 181 as a framework to explore how these expectations, along with instructional practices, impact student perceptions. EVT has also been used as a framework to explore the efficacy of specific active learning 182 183 activities and classroom interventions, including in the context of activities in undergraduate microbiology (Rholl et al., 2023), animal science (Ragland, Radcliffe, & Karcher, 2023), and introductory biology 184 185 (Nugent, 2019). Other work, while not explicitly drawing upon EVT, investigate student expectations of 186 active learning, finding that what students expect to happen in a classroom and what they expect to 187 happen with active learning shape their engagement in the classroom (Lemelin et al., 2021; Nguyen et 188 al., 2021). Past work has also identified that potential differences in expectations between students and instructors regarding active learning can also influence both student and instructor behavior in the 189 190 classroom (M. E. Andrews et al., 2020).

Taken together, this work suggests that investigating students' familiarity with and expectations of active 191 192 learning can provide insight into students' level of engagement with active learning. Indeed, a systematic 193 literature review of studies around student resistance and negative responses to active learning identifies 194 EVT as a relevant theory and highlights how students' value beliefs, i.e., their perceived utility and sense 195 of value of the active learning activities, as well as their level of familiarity of active learning, play major 196 roles in determining students' level of engagement (Shekhar et al., 2020). Here, we draw upon EVT and 197 use a model developed for exploring the level that students choose to engage (or not engage) during 198 active learning activities to situate our work (K. M. Cooper et al., 2017). Under this model, three main 199 components shape students' achievement-related choices in active learning: their expectation of success 200 (i.e., learning) when doing active learning; the perceived value of engaging during the active learning activity; and the perceived cost of engaging in the active learning activity (K. M. Cooper et al., 2017). 201 Cooper et al. (2017) thus posit that students' level of familiarity with active learning prior to the active 202 203 learning, as well as their perceptions of what active learning is, will influence their expectations and 204 perceived value of participating in the active learning activity and their level of potential resistance to 205 active learning. Given this framework, our work involves surveying students prior to the start of an 206 introductory course to characterize students' level of familiarity with active learning as well as their 207 definitions and perceptions of active learning, which will all influence students' expectations and

208 perceived values and thus their engagement in active learning activities.

#### 209 Methods

#### 210 Institution and course context

211 This study took place at a private, comprehensive university in southern California with an R2 designation

212 under the Carnegie Classifications. The university is a primarily undergraduate institution within most of

the STEM disciplines and within biology and the life sciences. For instance, there are no graduate

214 programs in any of the natural sciences. Undergraduate courses thus do not have any teaching

assistants, and range in size from around 50-80 students in each section at the introductory level. This

study took place in the context of an introduction to molecular genetics course that was previously

217 described (Hsu & Rowland-Goldsmith, 2021). This course, which is offered in both fall and spring

- semesters, typically serves as either the second or third course in the introductory biology sequence,
- 219 depending on major. For example, students majoring in health sciences and applied human physiology,
- as well as those in the university's pre-pharmacy program, usually take this course in their second
- semester of college, after taking an introductory biology course focusing on cell and molecular biology. In
- 222 contrast, biology majors usually take this course as their third semester of biology (after taking a course
- 223 focusing on ecology and evolution in their second semester).

# 224 Survey design

225 The instructors of the introduction to molecular genetics course (including JLH) designed a pre-class

- survey to get to know their students, address concerns, and adjust the course accordingly. This pre-class survey encompassed three Likert-scale guestions that are used for our study here:
- I am familiar with active learning. This question was scored on a scale of "I am not at all familiar with active learning" (a numerical response of 1) to "I am very familiar with active learning" (a numerical response of 5).
- I learn best when my professors lecture the entire class period. This question was scored on a
   "strongly disagree" to "strongly agree" scale.
- I am a scientist. This question was scored on a "strongly disagree" to "strongly agree" scale.
- 234 The first two questions were designed *de novo* to capture both students' familiarity with active learning, as
- 235 well as their perceived sense of learning with lecture-only classes that do not utilize active learning. The
- third statement was derived from a published 5-item science identity scale that has previously been used
- in biology classes to measure STEM identity (Estrada, Woodcock, Hernandez, & Schultz, 2011;
- McCartney et al., 2022). We only included one statement here, given that these surveys were designed
- for class purposes (and not for research purposes), and length of the survey was a concern.
- 240 Finally, an open-ended question was asked to capture students' perceptions of active learning: "If you
- 241 have heard of active learning before, what words first come to mind when someone mentions active
- 242 learning? In other words, what words/terms do you associate with active learning?"
- 243 Survey deployment
- This pre-class survey was deployed each semester between spring 2018 and spring 2022, encompassing
- nine semesters. The survey was distributed to students using the course learning management software
- a week before the start of term and closed on the first day of class. Completion was incentivized with
- bonus points, and several reminders were sent to students about the importance of completing this pre-
- class survey, particularly when the course was online during the COVID-19 pandemic (given that the
- survey included questions asking about technological access and needs). Given this, response rates
   were extremely high, with over 80% of students completing the survey each semester (table I). Overall,
- 1,146 students responded to the survey, representing a nearly 93% completion rate across the
- 252 semesters.
- 253 This study was reviewed and approved by the Chapman University Institutional Review Board.
- 254 **Table I.** Enrollment of students and survey completion rates. \*This completion rate for fall 2020 is over
- 255 100% since enrollment data was gathered after the drop deadline the second week of the semester, so
- one student likely completed the pre-class survey but then did not stay enrolled in the course. No data is
- available for the number of students that dropped the course during the first two weeks of the semester
- during these terms, but the instructors report typically never having more than 2-3 students dropping the
- 259 class during this period.

Semester	Number of students enrolled	Number of survey respondents (percent of total)
Spring 2018	159	144 (90.6%)
Fall 2018	98	95 (96.9%)

Spring 2019	190	177 (93.2%)
Fall 2019	99	95 (96.0%)
Spring 2020	172	157 (91.3%)
Fall 2020	94	95* (101.1%*)
Spring 2021	163	162 (99.4%)
Fall 2021	99	83 (83.8%)
Spring 2022	162	138 (85.1%)
Total	1,236	1,146 (92.7%)

#### 261 Course demographics

We compiled aggregate demographic data for gender, major, and class year across these semesters. The majority of students (72.3%) identified as female, with 27.6% identifying as male (the rest identified

as non-binary or declined to state their gender). Most students were first-years (55.3%), with over a

quarter of respondents as second year students (27.1%). Far fewer were third years (11.8%) or fourth  $\frac{12.0}{10.00}$  where  $\frac{12.0}{10.00}$  with the remaining 1.7% consisting of students in their fifth wars or above, next

years (4.2%), with the remaining 1.7% consisting of students in their fifth year or above, post-

baccalaureate students, or students who declined to provide their class year. Finally, we identify that most
 students are life science majors: 42.1% are health sciences majors, followed by 18.7% biology majors,

16.2% pre-pharmacy students, 11.2% biochemistry majors, and 7% applied human physiology majors

209 10.2% pre-pharmacy students, 11.2% biochemistry majors, and 7% applied human physiology majors
 270 (formerly known as the kinesiology major). No other major had over 2% representation in our population.

271 Demographics remained largely constant each year, with the course taken predominantly by first- and

272 second-year life sciences students as a major requirement.

# 273 Coding of open-ended responses and analyses

Both researchers independently read through 25 random responses to the open-ended question and

275 generated a codebook. Next, the two researchers discussed to create a consensus codebook, applying

this codebook to the initial 25 responses. After this, the two researchers independently coded 30

responses and then calculated Cohen's kappa using ReCal 2.0 (Freelon, 2013). Kappa was 0.67,

indicating "substantial" agreement between the coders (Landis & Koch, 1977b, 1977a). Given this high

279 interrater reliability, one coder coded the remaining responses.

Responses to both the open-ended and Likert-scale questions were then compared longitudinally. We
 divided our responses into three main periods. First, we compiled spring 2018 through spring 2020 (five

semesters) as terms that were pre-COVID. The COVID pandemic started in spring 2020, with most

institutions (including ours) moving to emergency remote instruction in March 2020. We thus grouped the

spring 2020 semester with the pre-COVID group since the beginning of term survey would have been
 completed prior to the pandemic andERT. Next, we grouped fall 2020 and spring 2021 together as terms

with ERT during the COVID pandemic. Finally, we grouped the last two semesters (fall 2021 and spring

287 2022) together as terms that occurred after the pandemic began that did not rely on ERT and instead had

a return to in-person learning. We compared student familiarity, level of agreement with learning best

through lecture, and STEM identity across these periods using t-tests. In addition, we also compared

students' perceptions of active learning across the time periods by comparing the frequency of codes in

each period. Finally, given that first-year students may have different experiences than their peers who
 are in their second year and above, we compared students' familiarity with active learning, their level of

agreement with learning best through lecture, and STEM identity between first-year students and

students who are in their second year or above,

# 295 Results

296 How familiar with active learning are students in an introductory biology course focusing on molecular

297 genetics at the start of the course?

- 298 Most students (51.2%) responded with a 4 or 5 to the statement "I am familiar with active learning",
- indicating that they were either familiar or very familiar with active learning (table II). Approximately a fifth of students (18.9%) reported not being familiar with active learning or only minimally familiar.
- Approximately a third of students responded with a "3", indicating that they were somewhat familiar with
- 302 active learning. First-year students reported being less familiar with active learning than students in their
- 303 second year and above (supplemental table I; two sample t-test, p<0.01).

305 **Table II.** Student familiarity with active learning

Familiarity	Percent of respondents
Responded with a 1 (Not at all familiar with active	7.1%
learning)	
Responded with a 2	11.9%
Responded with a 3	29.8%
Responded with a 4	35.0%
Responded with a 5: Very familiar with active	16.1%
learning	

306

307

#### 308 How do these students perceive active learning?

Analysis of the open-ended responses led to seven emergent themes that were found across more than
1% of student responses (table III). The majority of students associated active learning with greater
participation in class, including perceiving that there was greater engagement in active learning classes.
Nearly a fourth of students associated active learning with critical thinking or higher order cognitive skills,
with approximately a fifth identifying active learning with small group activities and collaboration with

314 partners, and another 20% with small group or whole class discussions. Interestingly, 10% of

respondents stated that active learning involved asking more questions during class. Nearly 10% of

316 students indicated that they correlated active learning with either active study strategies (e.g., "active

reading") or assessment (e.g., "assessing yourself"). Finally, approximately 6% of respondents indicated

that they associated active learning with being more alert or attentive in class. These themes were largely

similar between first-year students and students in their second year and above (supplemental table 2).

320 **Table III.** Student perceptions of active learning, when asked what words they associated with active 321 learning

Code Name	Code description	Example quotes	Percent of respondents
Participation	Discussed engaging in class or participating during class, including engaging with the instructor and other students	"student involvement", "participation"	58.3%
Critical thinking	Associated active learning with higher order cognitive skills, including problem solving and applying concepts	"When you apply what you learn in order to really grasp the concept and remember it vs. just memorizing it for a short period of time."	24.3%
Collaboration	Specifically associated active learning with small group activities	"Group work or hands on"	20.5%

Discussion	Stated that active learning	"talking with peers",	19.8%
	consists of dialogue within small	"discussion",	
	groups or with the entire class	"communication"	
Asking	Ascribed active learning to the	"asking questions"	10.7%
questions	asking of questions in class		
Study	Stated that active learning is	"active reading,	7.0%
strategies	associating with active studying	assessing yourself"	
_	or assessment		
Attention	Cited paying more attention or	"Alert, proactive,	6.3%
	being more alert in class	passionate"	
		"focusing"	

323

#### What is the impact of the COVID pandemic on students' familiarity and perceptions of active learning? Are there any longitudinal trends in students' familiarity and perceptions?

326 The COVID-19 pandemic impacted students' familiarity of active learning, with the level of familiarity

dropping once ERT started (Figure 1; *M* = 3.63 and 2.80 for pre-COVID and during emergency remote

teaching, respectively; p < 0.001, two-sample t-test). This change represented an effect size of 0.84,

329 considered a large level of change (Cohen, 2016). Students' level of familiarity with active learning

330 increased after the conclusion of ERT (M = 3.28 after ERT; p < 0.001, two-sample t-test; effect size of

0.38), though remained below that of pre-COVID levels (p < 0.001, two-sample t-test). These trends were

observed for both first-year students and students in their second year and above (supplemental table 3).



333

**Figure 1.** Students' self-reported level of familiarity with active learning.

335 Interestingly, there were similar changes in students' self-reported perceptions of learning when their 336 instructor lectures the entire period. Before COVID, the mean Likert-scale value was 2.81 (figure 2), 337 indicating that students, on average, were slightly disagreeing with the statement that they learn best when the instructor lectures the entire period. The plurality of students indicated that they were neutral to 338 339 this statement (a Likert-scale value of 3). However, during ERT, students' perceptions changed, with 340 students now indicating a slight agreement to this statement (M = 3.21; figure 2), showing an increase in 341 students' agreement that they learn best with lecture-based courses (p-value < 0.001; two-sample t-test; effect size of 0.39). There was no change in students' perception between ERT and after the conclusion 342

of ERT (M = 3.06; p > 0.05; two-sample t-test; effect size of 0.15). These trends were consistent for both first-year students as well as students in their second year or above (supplemental table 4).



345



348 Our data also showed changes in students' perceptions of active learning during ERT, with a decreased 349 percentage of students indicating that they associated active learning with participation, collaboration, discussion, and attention, and increases in percentage of students indicating that they associated active 350 351 learning with critical thinking, asking questions, and study strategies (table IV; all comparisons p < 0.001; 352 Chi-square test with post-hoc Bonferroni correction), suggesting shifts in students' perceptions of active 353 learning. The percent of respondents that associated active learning with each of these seven themes 354 increased after the end of ERT (p < 0.001; Chi-square test with post-hoc Bonferroni correction), with the 355 exception of the participation and attention codes.

356 **Table IV.** Perceptions of active learning by time period

		Percent of respone	dents
Code name	Pre-COVID	During ERT	After ERT
Participation	61.0%	55.6%	53.8%
Critical thinking	21.9%	25.8%	30.0%
Collaboration	24.6%	10.4%	18.3%
Discussion	22.8%	13.2%	17.2%
Asking questions	8.6%	13.2%	14.4%
Study strategies	3.3%	8.8%	16.1%
Attention	7.5%	4.4%	4.4%

357

#### 358 Are there correlations between students' STEM identity and their perceptions of active learning?

359 There were no changes in students' STEM identity between any of the time periods (table V; two-sample

t-test; p > 0.05), with most students agreeing or strongly agreeing that they are a scientist. Fewer than

361 12% of students indicated that they disagreed or strongly disagreed with being a scientist in any of the

362 periods. There were also no differences between how those students who had high STEM identity (i.e.,

- those who agreed or strongly agreed that they were a scientist) and those who had low STEM identity
- 364 (i.e., those who disagreed or strongly disagreed that they were a scientist) perceived active learning when
- 365 we compared each of the seven codes (Chi-square test with post-hoc Bonferroni correction; p > 0.05).
- However, we identified that first-year students' STEM identity decreased from pre-COVID to during ERT, and remained at this lower level after ERT, while there was no significant change in the STEM identity for
- 368 students in their second year or above across any of the time periods (supplemental table 5).

# 369 **Table V.** Students' average scores for STEM identity by time

	Pre-COVID	During ERT	After ERT
Mean value for STEM	3.61	3.51	3.47
identity			

370

# 371 Discussion

# 372 The COVID-19 pandemic caused a decrease in students' familiarity with active learning

373 Our work is the first we are aware of that examines student perceptions of active learning prior to 374 engaging in an active learning course, as well as the first study we are aware of that investigates how the 375 COVID-19 pandemic has impacted student perceptions of active learning. First, we find that students' 376 familiarity with active learning dropped during ERT and continues to be lower than pre-COVID, even in 377 the semesters after the end of ERT, and that this pattern held both for first-year students (who would not 378 have any collegiate experiences with in-person active learning classes during ERT) as well as students in 379 their second year and above. While our survey did not explore the reasons for students' familiarity (or lack 380 thereof) with active learning, this decrease in student familiarity with active learning may stem from a 381 number of factors. First, many instructors struggled with the transition to ERT (Moorhouse & Kohnke, 382 2021; Walsh et al., 2021), and surveys of instructors consistently demonstrated that the number of scientific teaching practices - including active learning in the virtual classroom - decreased after the 383 384 transition to ERT (Durham, Colclasure, & Brooks, 2022). Similarly, many courses in both high schools and colleges transitioned to asynchronous classes (Guo, 2020; Meltzer et al., 2021), a format that poses 385 386 unique challenges for instructors, many of whom are not trained in asynchronous teaching, to implement 387 active learning(Heffernan, Murphy, & Yearwood, 2022; Venton & Pompano, 2021). We speculate that 388 these changes in instruction and the likely decrease in exposure to active learning during ERT led to 389 students' decreased familiarity with active learning. Second, there was a concomitant decrease in student 390 engagement and an increase in student stress and anxiety as the pandemic started, given the large health and economic pressures that many students faced (Hsu & Goldsmith, 2021; Spitzer, Gutsfeld, 391 392 Wirzberger, & Moeller, 2021; Wester et al., 2021). Even if some instructors were able to implement the 393 same frequency of active learning during ERT as before the pandemic, it is possible that this decrease in 394 student emotional engagement and participation in class may impact students' experiences and 395 perceptions, contributing to the observed lower familiarity with active learning.

396 This decrease in familiarity with active learning was accompanied by an increase in students perceiving 397 that they learn best when their instructors lecture the entire period, meaning no active learning is taking 398 place. We contextualize this increase in perception that lecturing supports learning and decreased 399 familiarity with active learning by drawing upon the framework for examining student perceptions of active 400 learning based on EVT (K. M. Cooper et al., 2017). Under this model, students' familiarity with active 401 learning prior to a course influences both their expectations of what will occur in an active learning 402 classroom and their perceived value of participating in active learning. This model is supported by past work identifying that low familiarity with active learning can potentially lead to decreased motivation in 403 404 active learning classrooms and lower "buy in" to such activities (Cavanagh et al., 2016; Shekhar et al., 405 2020). Thus, these changes may potentially lead to students being more resistant to active learning since 406 the start of the COVID pandemic unless there is instruction that explains and familiarizes students with 407 active learning. Our data suggest that more students may have a lowered perceived value of participating in active learning activities given the increased number of students indicating that they learn best with a
 class consisting solely of lecturing, indicating that students may come into an active learning class with
 lower motivation to engage in active learning because of their unfamiliarity with these techniques. In

addition, students may have more incorrect expectations of what participating in an active learning class

means if they are less familiar with active learning than previous cohorts of students, again potentially

413 contributing to greater resistance to active learning.

# 414 Students report diverse conceptions of active learning

415 Under the framework of active learning engagement based on EVT, students' perceived value of 416 participating in active learning activities is also heavily influenced by how they perceive active learning (K. 417 M. Cooper et al., 2017). In addition, past work has indicated that differences in how students and 418 instructors value active learning and how they perceive such activity can shape student and instructor 419 behaviors (M. E. Andrews et al., 2020). Our results indicate that there is no consensus on how 420 undergraduate students entering the molecular genetics course perceive active learning. Instead, 421 students provided fairly diverse responses that ranged from associating active learning with more 422 participation and engagement in class, to linking active learning with higher order thinking and paying 423 more attention in class (table III). While we did not ask students to define active learning, these responses 424 provide insight into how students are perceiving active learning and their conceptions of what it means to 425 participate in active learning. Intriguingly, this lack of consensus among students is consistent with past 426 work that has demonstrated a similar diversity of conceptions of active learning among biology faculty and 427 biology education research (BER) papers focusing on active learning (Driessen et al., 2020). For 428 instance, the most common response provided by biology instructors defined active learning as "students 429 interacting or engaging with the material," which was also the most commonly cited definition in the BER 430 literature (Driessen et al., 2020). The second most commonly cited definition for active learning in both 431 the instructor survey and BER literature defined active learning as the opposite of lecturing (i.e., 432 characterizing active learning as "not lecturing"), followed by defining active learning as consisting of

433 group work (Driessen et al., 2020).

434 Parts of our students' conceptions of active learning appear to align with these three definitions of active 435 learning. For instance, the majority of students stated that they viewed active learning as engaging and 436 participating more in class, in line with instructors' conceptions as well as the most common definition used in the BER literature. Similarly, the third and fourth most common responses that our respondents 437 438 provided (that they associated active learning with collaboration and discussion) matched the second and 439 third most common active learning strategies mentioned by instructors (Driessen et al., 2020). However, 440 despite this alignment, there were a few areas where students were conceptualizing active learning in 441 different ways than by either instructors or the BER literature. For instance, the second most common 442 response students provided in our study was that they associated active learning with critical thinking, 443 likely perceiving classes with active learning as incorporating more questions that require higher order 444 cognitive skills. While past studies have indicated that active learning can promote the development of 445 students' critical thinking skills (Kim, Sharma, Land, & Furlong, 2013; Styers, Van Zandt, & Hayden, 446 2018), it is interesting that neither instructors nor the BER literature define active learning as being 447 characterized by critical thinking or higher order cognitive skills, showing a potential disconnect in how 448 students and instructors are thinking about and defining active learning. Similarly, while our respondents 449 mentioned group work and discussion, we note that none of our students explicitly mentioned 450 metacognition or assessment, two of the most frequently cited active learning strategies by instructors and the BER literature (Driessen et al., 2020). 451

There are several possible reasons for these disconnects between how students and instructors are viewing active learning, and we draw upon variation theory to provide a framework for discussing these differences (J. Bussey, Orgill, & J. Crippen, 2013). Variation theory posits that instructors have an intended object of learning, i.e., their goals when choosing and implementing class activities, but that the enacted and lived objects of learning (i.e., what happens and what students perceive and take away from the lesson, respectively) may vary due to students attending to different features during the class and

having different perspectives and backgrounds (J. Bussey et al., 2013). Thus, it is possible that these

students may have had prior experiences with active learning focused on metacognition, but that the

460 students may not have recognized the reflective nature of the activities nor realized that they were being 461 prompted to think about their own thinking. Similarly, students may have experienced previous active

461 prompted to think about their own thinking. Similarly, students may have experienced previous active 462 learning classes with formative assessments, but rather than recognizing the role of such assessments to

463 provide feedback on their learning, may have focused more on the higher-level cognitive nature of such

464 questions, leading to the differences in how instructors and students are describing active learning.

465 In addition, past work has found that instructors' past exposure to active learning plays a major role in 466 shaping how they conceptualize and implement active learning, with large variation in how instructors 467 incorporate active learning in classes (T. C. Andrews et al., 2019; Van Amburgh, Devlin, Kirwin, & 468 Qualters, 2007). Similarly, past work utilizing EVT has identified that instructors' familiarity with active 469 learning also influences their motivations for implementing active learning or for pursuing professional development relating to active learning (McCourt et al., 2017; McPartlan, Thoman, Poe, A Herrera, & 470 Smith, 2022). It is therefore likely that students' past exposure to different active learning techniques (and 471 472 thus their level of familiarity with active learning) also shapes their conceptions of active learning (Daouk, Bahous, & Bacha, 2016; Lumpkin et al., 2015; Machemer & Crawford, 2007). Thus, it is possible that 473 474 differences in students' previous backgrounds and familiarity with active learning (and how their past 475 instructors approached active learning) may influence their conceptions of active learning, leading to the 476 variation we observed in our results and the disconnects between some of these conceptions and those 477 of instructors.

Intriguingly, approximately 10% of students in our work highlighted how they associated active learning with being able to ask questions. These associations suggest that students may be more comfortable asking questions to peers and instructors in active learning classes, which may be a result of students participating in small group activities or whole class discussions in active learning classes as compared to lecture-based classes. It is also interesting to note that some students associated active learning with implementing more active study strategies or paying more attention in class, highlighting how these students are likely perceiving additional benefits of active learning that extend beyond the classroom and

485 likely shaping their expectations of what active learning entails.

# 486 Changes in perceptions of active learning and in STEM identity

487 We find shifts in students' perceptions of active learning after the start of ERT, with fewer students 488 associating active learning with participation, collaboration, discussion, and paying attention, consistent 489 with our hypotheses that students are engaging in fewer active learning activities that involve 490 collaborating or discussing questions with their peers during ERT. . Despite this, the relative frequencies 491 of the codes largely remained the same between pre-COVID, ERT, and after ERT, suggesting that 492 despite a drop in familiarity with active learning during and after ERT, students are still primarily 493 conceptualizing active learning in similar ways as before the COVID pandemic. We also did not see any 494 differences in how students with high or low STEM identities viewed active learning. While past work has 495 identified that active learning can shape students' STEM identity and increase sense of belonging (Liu, 496 Yang, & Ho, 2022; Major & Kirn, 2017; Moudgalya, Mayfield, Yadav, Hu, & Kussmaul, 2021), we are not 497 aware of any previous work that has explicitly examined if students with different levels of STEM identity 498 have different perceptions of active learning prior to engaging in an active learning course. However, past 499 work has found that the level of a student's STEM identity can impact how they perceive a classroom, 500 suggesting that students with different levels of STEM identities may perceive active learning different 501 and engage differently as well (Starr et al., 2020). More work is needed in the future to fully investigate if 502 there are more nuanced differences in how these students think about active learning that our survey was 503 unable to capture. Similarly, we identified that there was a decrease in first-year students' STEM identity 504 during ERT, a decrease not seen in students in their second year and above. Further work is needed to 505 explore this change in more depth, though we speculate that this difference may be attributed to first-year

506 students' lack of experience with in-person college experiences during ERT, as compared to students in

- their second year and above who previously had in-person experiences with college classes and the
- 508 college community.

# 509 Limitations

510 We acknowledge several limitations of our work. First, our work is limited to one institution, and it is 511 possible that specific institutional characteristics may influence students' conceptions of active learning. 512 For instance, it is plausible that older students may be sharing their views on active learning with 513 incoming students, thus biasing their views, or that instructors in previous courses may also be discussing 514 or defining active learning with the students. Second, we recognize that our work is constrained by the 515 nature of our instrument, which was designed for pedagogical purposes to allow the instructors of the 516 course to better adjust their classes. As such, the survey only asked students what words they associated 517 with active learning and did not ask them to define this term, and also relied on a limited number of Likert-518 scale questions. More work in the future that incorporates validated instruments, including surveys 519 designed using EVT as a framework, and interviews with students across a diversity of institutions will 520 provide a more comprehensive understanding of how students across the United States are 521 conceptualizing active learning and the impacts of the COVID-19 pandemic on perceptions of active 522 learning. Finally, we note that our work provided a longitudinal examination of how different cohorts of 523 students before, during, and after ERT conceptualize active learning, but did not provide any longitudinal 524 tracking of a given cohort of students to see how their specific perceptions of active learning changed 525 over time. Future work that tracks incoming students (who likely experienced ERT in their high school classes) and investigates how their conceptions of active learning change over time will provide important 526 insights into how ERT shifted students' perceptions of active learning and what factors influence these 527 528 perceptions over time.

529 Despite these limitations, our study provides the first work we are aware of that explores how the COVID 530 pandemic influenced students' conceptions of active learning and provides insight into how ERT impacted 531 students' familiarity with and expectations of active learning. In addition, our work provides a unique 532 examination of student conceptions of active learning at the start of an active learning course, allowing for 533 greater understanding of how students in an introductory-level biology course perceive active learning 534 before engaging with such techniques in the class. Finally, we highlight how our work adds to the existing 535 literature base on active learning by examining student conceptions of active learning at an institution that 536 is primarily undergraduate in the life sciences, in contrast to the majority of BER literature that is based on 537 studies conducting at large, research-intensive universities (Lo et al., 2019).

- 538 Implications for instructors
- 539 Our work provides several implications for instructors:

540 • Explicitly define and justify the use of active learning at the start of courses. Our work 541 demonstrates that the COVID-19 pandemic and ERT led to a decrease in students' familiarity 542 with active learning and a concomitant increase in percentage of students who think that they 543 learn best in a lecture-only class, despite overwhelming evidence that active learning is beneficial for student learning and retention (Armbruster et al., 2009; Freeman et al., 2014; Freeman, Haak, 544 545 & Wenderoth, 2011; Haak et al., 2011; Theobald et al., 2020). Under the model based on EVT, 546 these decreases in familiarity (and the increases in expectation that students learn best when the instructor lectures the entire period) will likely lead to greater resistance to active learning. Thus, 547 548 instructors who intend to use active learning can take steps at the beginning of the course (and 549 throughout the term) to guide students' expectations and values regarding active learning by 550 explaining pedagogical choices (Seidel, Reggi, Schinske, Burrus, & Tanner, 2015). This includes 551 incorporating discussion on what types of activities that students will encounter in the class, as 552 well as a conversation about the reasoning for using such active learning techniques (i.e., 553 justifying the choice of active learning by highlighting the benefits for student learning). Instructors can also implement related strategies centered around explaining and facilitating active learning
that can mitigate resistance for active learning (Tharayil et al., 2018) as well as interventions that
promote student engagement for specific types of activities such as groupwork and message
boards (Clinton & Kelly, 2020b, 2020a).

559 Explore different types of active learning strategies and be cognizant of potential • 560 differences in how students and instructors perceive active learning. Our work reveals that 561 students are conceptualizing active learning in many ways, similar to how there is no consensus 562 among instructors about what active learning is. Given the wide variation in how active learning is 563 perceived, instructors may wish to explore and integrate a multitude of active learning 564 approaches in their classes and rely on the existing literature that guides instructors on 565 implementation of such approaches. For instance, we highlight a recent literature review that 566 provides an overview of instructor strategies to aid implementation of active learning (Nguyen et 567 al., 2021) as well as past essays designed to guide instructors in thinking about a range of active 568 learning strategies (e.g., Allen & Tanner, 2005). Instructors should also be aware of potential 569 disconnects in how students and instructors are conceptualizing active learning; for instance, our 570 study revealed that none of our surveyed students associated active learning with metacognition 571 or assessment, in contrast to many biology education instructors and the BER literature defining 572 active learning as such (Driessen et al., 2020). Instructors can thus take steps to address this 573 disconnect by highlighting and discussing the benefits of any metacognitive active learning activities they do in class, including activities that incorporate formative assessment, and guide 574 students towards more metacognition both inside and outside the class. We direct instructors to a 575 576 recent evidence-based teaching guide on metacognition that may be of interest (Stanton, 577 Sebesta, & Dunlosky, 2021). 578

Discuss how active learning can help promote critical thinking and higher-order cognitive 579 • 580 skills and scaffold the class to develop such skills. Our results suggest that many students 581 are associating active learning with critical thinking and higher-order cognitive skills, in contrast to biology instructors and the BER literature, which do not define active learning in a similar way 582 583 (Driessen et al., 2020). These student perceptions are consistent with past work that has found 584 that students in active learning classes are using more cognitive effort than students in lecturebased courses (Deslauriers, McCarty, Miller, Callaghan, & Kestin, 2019). However, this study 585 found that students expending more cognitive effort in active learning classes led to the students 586 587 believing that they were learning less in such active learning classes (despite evidence that they 588 were actually learning more than in the lecture-based class) (Deslauriers et al., 2019). Taken 589 together, these data suggest that instructors should be aware of the potential disconnect between 590 how they and the students are viewing active learning and can take steps to influence students' 591 expectations of active learning accordingly. For instance, instructors can discuss how active 592 learning requires more cognitive effort but will lead to greater learning gains. Similarly, instructors 593 can scaffold their classes accordingly to support and develop such critical thinking skills.

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558

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#### 599 Data availability statement

The full set of student responses are not shared publicly to protect participants' privacy and per IRB regulations. However, we have shared all instruments, coding techniques, and results in this manuscript

- 602 and the supplement.
- 603

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